

Grant Applicants Program Guidelines

Native American/Native Hawaiian Museum Services Program -- FY 2011 Guidelines

Navigating the Guidelines

You are currently on: [Home page](#)

[Contents](#)

[Section One: General Information](#)

[Section Two: Preparing and Submitting an Application](#)

[Section Three: IMLS Assurances and Certification](#)

CFDA Number 45.308

Application Deadline: April 1, 2011

Applicants must apply through Grants.gov
(see www.ims.gov/grantsgov for more information).

Dear Colleagues



I am pleased to present the 2011 guidelines for Native American/Native Hawaiian Museum Services. These grants provide opportunities for Native American tribes and groups that primarily serve native Hawaiians to support activities in museum and museum-related organizations, such as cultural centers, that strengthen programming, encourage professional development, and enhance museum services.

This program has provided support to a collection of projects that have helped federally recognized Indian tribes and groups that primarily serve Native Hawaiians sustain and preserve cultural heritage and knowledge. These grants fund a full range of museum programs and activities, including collections management, staff training, public programming, strategic planning, and exhibition development. We encourage applicants to submit projects that fall within one of the following three categories – Programming, Professional Development, and Enhancement of Museum Services – that are of particular relevance to their museum.

This program was established in the Museum and Library Services Act of 2003 which included, for the first time, the authority for IMLS to provide assistance to “Native American tribes and organizations primarily serving and representing Native Hawaiians provide museum services.” These guidelines were developed in response to the legislation and with the advice of the tribal museum community and eligible tribes.

I invite you to read these guidelines, speak with IMLS staff, and consider applying for funding.

Sincerely,

Marsha L. Semmel
Deputy Director for Museums and Director for Strategic Partnerships, IMLS

Native American/Native Hawaiian Museum Services Program Staff

For more information, call or write:

Sandra Narva, Senior Program Officer
Phone: 202-653-4634
E-mail: snarva@imls.gov

Allison Boals, Program Specialist
Phone: 202-653-4702
E-mail: aboals@imls.gov

Reagan Moore, Program Specialist
Phone: 202-653-4637
E-mail: rmoore@imls.gov

Office of Museum Services

General phone: 202/653-4789

Institute of Museum and Library Services

1800 M Street, NW, 9th Floor
Washington, DC 20036-5802
General phone: 202/653-IMLS (4657)
General e-mail: imlsinfo@imls.gov
Web site: www.imls.gov

Teletype (TTY/TDD) (for persons with hearing difficulty): 202/653-4614

Upon request, the Institute will provide an audio recording of this or any other publication.

Office of Management and Budget Clearance Numbers

Guidelines: OMB No. 3137-0029; Expiration Date: 8/31/2013
Forms: OMB No. 3137-0071; Expiration Date: 8/31/2013

Burden Estimates and Request for Public Comments

Public reporting burden for the collection of information per the guidelines' instruction is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Institute of Museum and Library Services at the address above; and to the Office of Management and Budget, Paperwork Reduction Project (3137-0029), Washington, DC 20503.

Public reporting burden is estimated to average 15 minutes per response for the Program Information Sheet, 3 hours per response for the Detailed Budget and Summary Budget, and 10 minutes per response for the Partnership Statement. Send comment regarding this burden estimate or any other aspect of this collection of information, including suggestion for reducing this burden, to the Institute of Museum and Library Services, Chief Information Officer, 1800 M Street, NW, 9th Floor, Washington, DC 20036-5802; and to the Office of Management and Budget, Paperwork Reduction Project (3137-0071), Washington, DC 20503.

IMLS programs do not discriminate on the basis of race, color, national origin, sex, disability, or age. For further information, write to the Civil Rights Officer, Institute of Museum and Library Services, 1800 M Street, NW, 9th Floor, Washington, DC 20036-5802.

Note: IMLS operates on an annual fiscal year cycle. Its grant programs are subject to the availability of funding.

[back to top](#)

Grant Applicants

Program Guidelines

Native American/Native Hawaiian Museum Services Program -- FY 2011 Guidelines

Navigating the Grant Program Guidelines

[Home page](#)

You are currently on: Contents

[Section One: General Information](#)

[Section Two: Preparing and Submitting an Application](#)

[Section Three: IMLS Assurances and Certification](#)

Contents

Section One: General Information

About the Institute of Museum and Library Services

[Museums and Libraries Strengthen 21st Century Skills](#)

[Museums and Libraries Engaging America's Youth](#)

[Connecting to Collections: A Call to Action](#)

About the Native American/Native Hawaiian Museum Services Program

Web Conferencing with Program Staff

Eligibility

Application Review Process

[How To Serve as a Reviewer](#)

Award Information

[Project Start and End Date](#)

[Award Amounts](#)

[Cost Sharing](#)

[Award Announcement](#)

[Use of Funds](#)

[Project Evaluation](#)

[Copyright/Work Products](#)

[Guidance for Projects That Develop Digital Products](#)

Section Two: Preparing and Submitting an Application

Grants.gov Information and Instructions

[Grants.gov Help](#)

[Tips for Working Successfully with Grants.gov](#)

Preparing an Application

[Application Component Formats](#)

[Application Component Naming](#)
[Application Components](#)

[SF-424S: Application for Federal Domestic Assistance/Short Organizational Form](#)

[Abstract](#)

[Program Information Sheet](#)

[Organizational Profile](#)

[Narrative](#)

[Schedule of Completion](#)

[Budget](#)

[Detailed Budget](#)
[Use of Indirect Cost Rates](#)
[Cost Sharing](#)
[Maintenance of Effort](#)
[Summary Budget](#)
[Federally Negotiated Indirect Cost Rate Agreement](#)
[Budget Justification](#)

[List of Key Project Staff, Consultants, and Service Providers](#)

[Resumes for List of Key Project Staff, Consultants, and Service Providers](#)

[Partnership Statement](#)

[Proof of Nonprofit Status](#)

[Specifications for Projects that Develop Digital Products](#)

[Exhibition Summary \(if applicable\)](#)

[Supporting Documents for Native American/Native Hawaiian Museum Services Applicants](#)

[Section Three: IMLS Assurances and Certifications](#)

[Assurances Statement](#)

[Certifications Required of All Applicants](#)

[Certifications Required of Some Applicants](#)

[back to top](#)

Grant Applicants

Program Guidelines

Native American/Native Hawaiian Museum Services Program -- FY 2011 Guidelines

Navigating the Grant Program Guidelines

[Home page](#)

[Contents](#)

You are currently on: Section One: General Information

[Section Two: Preparing and Submitting an Application](#)

[Section Three: IMLS Assurances and Certification](#)

General Information

About the Institute of Museum and Library Services

The Institute of Museum and Library Services is the primary source of federal support for the nation's 123,000 libraries and 17,500 museums. The Institute's mission is to create strong libraries and museums that connect people to information and ideas. The Institute works at the national level and in coordination with state and local organizations to sustain heritage, culture, and knowledge; enhance learning and innovation; and support professional development. To learn more about the Institute and its resources, please visit www.ims.gov.

The Institute supports the full range of museums, including art, history, science and technology, children's, natural history, historic houses, nature centers, botanical gardens, and zoos; and all types of libraries, including public, school, academic, research, and archival. Our robust capacity for research, evaluation, policy analysis, grantmaking, and partnerships helps make it possible for libraries and museums to be leaders in their communities.

Museums and libraries are America's leading public institutions, making knowledge available to millions at little or no cost. As public institutions they must meet a very high threshold of mission accountability and use resources wisely for public good.

Through grants and information resources, we annually reach thousands of museums and libraries in myriad ways—from providing much needed technical assistance for small institutions to establishing national and replicable models, strengthening state networks, and supporting professional development. To aid institutions in program design, we also provide tools for strategic planning and evaluation. Funding from the Institute helps museums and libraries operate effectively and give value to their communities. It also leverages additional public and private support.

Collecting and disseminating results from funded projects, engaging in research, and publishing reports enables the Institute of Museum and Library Services to make a significant contribution to library, museum, and information policy and practice in the United States.

Museums and Libraries Strengthen 21st Century Skills

In the summer of 2009, the Institute released *Museums, Libraries and 21st Century Skills*. The report [and accompanying Web site](#) describe the role libraries and museums play in engaging their communities and contributing to workforce and education goals. Through a self-assessment tool, case studies, and policy analysis, the report establishes the essential role that libraries and museums play in creating an engaged citizenry and competitive workforce. The report highlights the ways in which these institutions support information, communications, and technology literacy; creativity and problem solving; civic literacy; global

awareness; and other 21st century skills.

The report helps libraries and museums align institutional mission, infrastructure, staff, and programming to engage communities in learning. The report has also spurred an agency-wide *21st Century Skills* initiative. This year, IMLS will continue hosting workshops around the country to help museums, libraries, and other community organizations identify and address 21st century skills needs in their communities. Also, look for additional resources on the IMLS Web site as well as a series of Webinars and a national contest to identify current promising practices.

The Institute also encourages grant proposals that promote the skills necessary to develop 21st century communities, citizens, and workers.

Museums and Libraries Engaging America's Youth

Museums and libraries have a rich array of resources—collections, staff, programs—that engage youth by inspiring curiosity and fostering learning. These cultural institutions also provide safe and welcoming environments for kids. Libraries and museums are essential community partners that have a significant role to play in helping youth succeed in school, work, and life. Through its *Museums and Libraries Engaging America's Youth* initiative, the Institute looked back at the youth-oriented programs it funded to examine what works and to share effective practices. A convening of practitioners, educators, and informal learning experts looked at elements of successful programs—such as positive environment, institutional support, professional development, and evaluation—and how museums and libraries can enhance their role as community partners. If your application to the Native American/Native Hawaiian Museum Services program involves youth, please see these publications for tips and recommendations in designing effective programs, as well as for valuable resources and bibliography.

Youth Resources (available at www.ims.gov/youth):

- The **Final Report** shares the results of the year-long study on the impact of IMLS grants (1998–2003) through programs that served youth aged 9–19. Nearly 400 museum and library programs were surveyed about their goals, strategies, content, audience, and structure, as well as about their impact, effectiveness, and outcomes.
- The **Practitioner's Guide** provides practitioners with the information needed for planning and implementing effective youth programs. It includes a variety of resources and references to critical works that have been gathered from the fields of youth and community development, education, and informal learning.

Connecting to Collections: A Call to Action

Over the course of the past four years, IMLS and its partners have provided leadership, resources, and grants to help make conservation of collections a priority in America's collecting institutions. *Connecting to Collections: A Call to Action*, an initiative grounded in the results of the [Heritage Health Index report](#), is now in its final phase. A series of convenings has taken place, including a national summit; four forums, each addressing a crucial issue in collections care; and an international seminar on "Connecting to the World's Collections: Making the Case for the Conservation and Preservation of Our Cultural Heritage," held in Salzburg, Austria. In addition, almost 3,000 sets of the *IMLS Connecting to Collections Bookshelf* have been sent to small museums, libraries, and archives throughout the country.

For further information:

- Visit the *Connecting to Collections* Web site at www.ims.gov/collections; it is a rich resource with webcasts of convenings and links to a comprehensive list of online resources.
- Apply for funding to care for collections through the Conservation Project Support program and the Conservation Assessment Program.
- Stay tuned for more information about webinars that are being developed in cooperation with Heritage Preservation and the American Association for State and Local History.

The Institute's partners for *Connecting to Collections* include Heritage Preservation, the American Association for State and Local History, the American Institute for the Conservation of Historic and Artistic Works, the Getty Foundation, the Luce Foundation, Bank of America, the Kress Foundation, United Parcel Service, the National Endowment for the Arts, the National Endowment for the Humanities, and the President's Committee on the Arts and the Humanities, among others.

About Native American/Native Hawaiian Museum Services

Native American/Native Hawaiian Museum Services (NANH) promotes enhanced learning and innovation within museums and museum-related organizations, such as cultural centers organized by Native American tribes and organizations that primarily serve Native Hawaiians. The program provides opportunities to sustain heritage, culture, and knowledge through strengthened museum services in the areas of programming, professional development, and enhancement of museum services. Projects will benefit their communities and audiences by connecting people to ideas, information, and learning experiences.

For FY2011, the program invites applications that focus on activities in the following areas:

Programming: Services and activities that support the educational missions of museums and museum-related organizations, including (but not limited to) activities such as

- exhibits
- research and interpretation
- educational resources such as Web sites, curricula, digital content, and publications
- educational demonstrations and performances, including workshops, classes and presentations

Professional Development: Education or training that builds skills, knowledge, or other professional capacity for individuals who provide or manage museum services activities. Individuals can be paid or volunteer, and involved with museum services either currently or in the future. Activities include (but are not limited to)

- creation and offering of courses, workshops, in-person or distance learning offerings
- enrollment in courses, workshops, in-person or distance learning offerings
- attendance at conferences or other professional meetings
- hiring of consultants or technical assistance to strengthen museum services and activities
- organizational support for internships and fellowships (awards are not made to individuals)

Enhancement of Museum Services: Support for activities that enable or improve museum services, including (but not limited to)

- planning, including strategic planning, policy development, and disaster preparedness and risk management
- improvement implementation, including technology and other resources, equipment purchases, security, public access (construction projects are not allowed)
- heritage preservation, including collections care and management
- hiring of temporary or permanent staff to support museum services

Applicants are required to focus their proposed activities within one of the categories listed above.

Web Conferencing with Program Staff

IMLS offers opportunities to discuss your application or general issues about the Native American/Native Hawaiian Museum Services program with the program staff. We do this through a Web conferencing system that combines audio conferencing (like traditional teleconferencing systems) and webinar capabilities. We invite you to join the conference to see and hear presentations by IMLS program staff, ask questions, and listen to the questions, and comments of other participants.

The conference call schedule for the Native American/Native Hawaiian Museum Services program is:

Wednesday, February 23, 2011, at 3:00 p.m. Eastern Time

Participants should click on the following link to join the Webinar: https://imls.megameeting.com/?page=guest&conid=NANH_Applicants

To access audio, dial 1-866-459-4770 and enter the code 6502616#

Please note that the schedule is subject to change. The week the webinar is scheduled, visit the [IMLS Web page for Native American/Native Hawaiian Museum Services](#) to confirm the date and time.

Eligibility

Museums are not eligible to apply for this grant program. Entities such as museums, libraries, schools, tribal colleges, or departments of education are not eligible applicants, although they may be involved in the administration of this program and their staff may serve as project directors, in partnership with an eligible applicant. Eligible applicants are:

- Indian tribes or
- Organizations that primarily serve and represent Native Hawaiians

For the purpose of funding under this program, “**Indian tribe**” means any tribe, band, nation, or other organized group or community, including any Alaska native village, regional corporation, or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C. Section 1601 et seq.)), which is recognized by the Secretary of the Interior as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. A list of eligible entities is available from the Bureau of Indian Affairs, except for the recognized Alaska native villages, regional corporations, and village corporations (Alaskan entities should refer to applicable provisions in the Alaska Native Claims Settlement Act, referenced above).

The same population cannot be served by more than one Native American/Native Hawaiian Museum Service Grant Program award.

For the purposes of funding under this program, “**organizations that primarily serve and represent Native Hawaiians**” means any nonprofit organization that primarily serves and represents Native Hawaiians, as the term is defined in 20 U.S.C. Section 7517. The term “**Native Hawaiian**” means any individual who is (a) a citizen of the United States, and (b) a descendant of the aboriginal people who, prior to 1778, occupied and exercised sovereignty in the area that now comprises the state of Hawaii, as evidenced by genealogical records; Kupuna (elders) or Kamaaina (long term community residents) verification; or certified birth records.

IMLS recognizes the potential for valuable contributions to the overall goals of the Native American/Native Hawaiian Museum Services program by entities that do not meet the eligibility requirements above. Although such entities may not serve as the official applicants, they are encouraged to participate in projects as partners. Federally operated libraries and museums may not apply for the Native American/Native Hawaiian Museum Services grants, but they may serve as nonessential partners to applicants if they do not receive IMLS grant funds as a result of the project. Contact IMLS before submitting a proposal involving a federal agency or federal collection. Consult with IMLS about any eligibility questions before submitting an application.

Partnerships

Partnerships may strengthen applications submitted to this program, if they are appropriate to the project. Partnerships are not required in this program, however, except as specified in specific program subcategories such as Collaborative Planning Grants and the Library-Museum Collaboration category. An application may include one or more partners. The lead applicant in a partnership must be eligible to apply as an individual entity, and all members of a partnership should be active contributors to and beneficiaries of project activities.

The members of the partnership shall designate one member of the partnership to apply for the grant. Any group application must contain a Partnership Statement that details the activities that each member of the partnership plans to perform and binds each member of the partnership to every statement and all assurances made by the applicant in the application. The applicant shall submit the Partnership Statement with the application.

By submitting the Partnership Statement with the application, the applicant affirms that (1) the partner is available and has agreed to participate, and (2) the Partnership Statement is true, complete, and accurate to the best of the applicant’s authorized representative’s knowledge. The applicant will ensure that each partner also provides a signed original version of the Partnership Statement to the applicant, and that this form will be made available to IMLS upon request.

If IMLS makes a grant to a partnership, the lead applicant for the partnership is the grantee and is legally responsible for the use of all grant funds and for ensuring that the project is carried out by the partnership in accordance with the terms of the grant and all applicable federal laws, regulations, and requirements. The lead applicant must be the fiscal agent, but may subcontract with partners for other specific activities or services. Each member of the partnership is legally responsible for carrying out the activities it agrees to perform and using the funds it receives in accordance with the terms of the grant and all applicable federal laws, regulations, and requirements.

Federal Partnerships

Please note that (a) Federal agencies may not receive IMLS funds, either directly or indirectly, and (b) federally appropriated funds provided, directly or indirectly, by Federal agencies do not qualify as cost share under an IMLS grant. However, eligible institutions may partner with Federal agencies if appropriate to the project.

International Partnerships

While IMLS does not make grants to non-U.S. entities, such entities may partner with eligible U.S. organizations on an IMLS-funded grant project. Non-U.S. entities are encouraged to participate and contribute fully to the project; such participation, however, does not count towards the eligible U.S. entity's cost share.

Application Review Process

The Institute uses a peer review process that includes individual field review and/or panel review to competitively evaluate all eligible and complete applications. Reviewers are professionals in the field who have relevant knowledge and expertise in the types of activities and organizations identified in the applications. Reviewers are instructed to evaluate proposed projects according to the criteria identified in the program guidelines. The Institute's director makes final funding decisions based on the reviewers' evaluations and the overall goals of the program and the agency.

How To Serve as a Reviewer

All competitive awards are reviewed by library and museum professionals who know the needs of communities, can share best practices, and are well versed in the issues and concerns of museums and libraries today. There are many benefits to volunteering to review applications. If you are selected to serve, you will help the Institute and the museum and library communities and strengthen the grant review process. If you are interested in serving as a reviewer for the Institute, you can be included in our database of potential reviewers by submitting your information through our online reviewer forms at www.imls.gov/reviewers/reviewers.shtm.

Award Information

Project Start and End Date

Projects may begin October 1, November 1, or December 1, 2011. Projects must begin on the first day of the month and end on the last day of the month. Generally, project activities supported by these grants may be carried out for up to two years.

Award Amounts

Native American/Native Hawaiian Museum Services awards range from \$5,000 to \$ 50,000.

Cost Sharing

Cost sharing is encouraged but not required for the Native American/Native Hawaiian Museum Services program. [Click here for further information on cost sharing.](#)

Award Announcements

No information about the status of an application will be released until the applications have been reviewed and all deliberations are concluded. IMLS expects to notify both funded and unfunded applicants of final decisions by mid-September 2011, with funded projects to begin no earlier than October 1, 2011.

Use of Funds

Allowable expenses include such items as

- project personnel, contract, or in-house staff time necessary for the proper and efficient execution of the project
- project consultants and their travel
- costs related to planning and maintenance of project partnerships
- purchase of equipment, materials, supplies, or services
- staff training
- program development and implementation
- exhibition design and fabrication (but see unallowable exhibit fabrication expenses below)
- integration of technology into exhibition or educational programs
- costs associated with evaluation of grant programs or activities
- research
- planning and policy development
- publications
- indirect or overhead costs ([click here for further information](#))
- activities aimed at achieving intellectual control over the collection, including: inventory,
- daily maintenance, registration, planning, and cataloguing
- collection conservation activities including the purchase of storage equipment (shelving, cabinets), installation of HVAC systems, treatment of objects/specimens, or collections surveys

All proposed expenses must be justified in the application budget.

Unallowable expenses include such items as

- general museum fundraising costs, such as development office expenditures or other staff time devoted to general fundraising;
- general advertising or public relations costs designed solely for promotional activities other than those related to the specific project;
- general museum operating support;
- contributions to endowments;
- acquisition of collections;
- social activities, ceremonies, receptions, or entertainment;
- construction and renovation of museum facilities (Generally any activity involving contract labor in the construction trades is not an allowable cost);
- exhibit fabrication that includes creation of large-scale permanent structures for animals or objects that would involve contract labor of the construction trades. (*Note:* Applicants with questions about the eligibility of exhibition activities should call IMLS staff immediately.); and
- pre-grant costs.

Project Evaluation

The Institute expects each application budget to include specific and sufficient resources for project evaluation, and expects institutions to use interim findings to strengthen project results. An application's proposed plan for project evaluation is a significant element in competitive review. The Institute promotes outcomes-based planning and evaluation as one important way for museums and libraries to measure results. For projects that intend to effect changes in behavior or knowledge, whether for professional peers or end users, applicants should identify their specific audiences and say how the project will objectively and concretely measure outcomes.

In cooperation with Indiana University–Purdue University Indianapolis (IUPUI), the Institute has supported *Shaping Outcomes*, an online course on outcomes-based planning and evaluation (OBPE), which can help applicants improve program design and evaluation. Available at www.shapingoutcomes.org, this resource

- provides an online curriculum in OBPE,
- is designed for library and museum professionals as well as students in these fields,
- teaches the concepts and vocabulary of OBPE, and
- helps participants develop the skills necessary for producing a logic model using OBPE.

At the end of the project all grantees are required to submit a final performance report that documents project goals and project results, and that provides an analysis of the project. The report requires quantitative information on project activities and audiences reached. It also requires quantitative and qualitative data that documents project achievements, summarizes lessons learned, and documents

outcomes and, if applicable, large-scale or long-term results that affect one or more institutions, communities, or fields.

All applicants are expected to include the costs of evaluation, reporting, and dissemination in their project budgets. Associated costs may be for consultants or staff, development of instruments, information collection, and analysis. Any of these may be budgeted as direct costs or cost share.

Applicants should include information in the application narrative that demonstrates that the project plan and evaluation design will enable the grantee to provide the data and analysis necessary to meet the requirements of the final report. See the final report form at www.ims.gov/docs/rptInstructions.doc and a glossary of key reporting terms at www.ims.gov/pdf/Glossary.pdf.

Copyright/Work Products

IMLS requires acknowledgment of IMLS assistance in all publications and other products resulting from the project. Products should be distributed for free or at cost unless the recipient has received written approval from IMLS for another arrangement. With written permission, the recipient may copyright any work that is subject to copyright and was developed under an award or for which ownership was purchased. IMLS reserves, for federal government purposes, a royalty-free, worldwide, nonexclusive, and irrevocable license to reproduce, publish, or otherwise use the work and authorize others to reproduce, publish, or otherwise use the work. IMLS requires that grantees provide two copies of any products produced with IMLS funds to IMLS with final reports.

Guidance for Projects That Develop Digital Products

Projects that involve the creation of new digital products such as digital collections, tools, or other digital resources must provide in the proposal narrative a detailed explanation of the need, intended uses, and audiences for these digital products. The proposal must also include a detailed work plan related to creating the digital products, and applicants must complete and submit with the application the form *Specifications for Projects That Develop Digital Products*. This form, as well as a list of potentially useful resources, projects, and standards is available on the IMLS Web site at www.ims.gov/applicants/forms.

[back to top](#)

Grant Applicants

Program Guidelines

Native American/Native Hawaiian Museum Services Program -- FY 2011 Guidelines

Navigating the Grant Program Guidelines

[Home page](#)

[Contents](#)

[Section One: General Information](#)

You are currently on: Section Two: Preparing and Submitting an Application

[Section Three: IMLS Assurances and Certification](#)

Preparing and Submitting an Application

Grants.Gov information and instructions

PLEASE REVIEW THESE GUIDELINES AND THE GRANTS.GOV REQUIREMENTS CAREFULLY. IMLS MAKES GRANTS ONLY TO ELIGIBLE APPLICANTS THAT SUBMIT COMPLETE APPLICATIONS, INCLUDING ATTACHMENTS, ON OR BEFORE THE DEADLINE.

Organizations that are applying under the April 1, 2011, deadline for the Native American/Native Hawaiian Museum Service program must submit their applications through Grants.gov, the federal Government's online application system. The Grants.gov system will accept applications through 11:59 p.m. eastern time on April 1, 2011.

While the deadline is April 1, 2011, IMLS recommends strongly that applicants REGISTER EARLY and COMPLETE AND SUBMIT THE APPLICATION EARLY. All applicants who are using Grants.gov must register with Grants.gov before submitting the application. The multistep registration process generally cannot be completed in a single day. Applicants who are not already registered should allow at least two weeks to complete this one-time process. DO NOT WAIT UNTIL THE WEEK OF THE APPLICATION DEADLINE TO REGISTER.

Find Grant Opportunities: www.grants.gov/applicants/find_grant_opportunities.jsp

Get Registered: www.grants.gov/applicants/get_registered.jsp

Apply for Grants: www.grants.gov/applicants/apply_for_grants.jsp

Use one of the following identifiers to locate the Native American/Native Hawaiian Museum Services package:

CFDA No: 45.308

Funding Opportunity Number: NANH-FY11

Within 30 working days after the application deadline, IMLS will e-mail applicants an acknowledgment form with an application log number. Applicants who do not receive this form in the stated time should contact IMLS to ensure that their application was successfully logged.

Grants.gov Help

Help is available on the Grants.gov Web site at www.grants.gov/help/help.jsp.

For direct assistance with Grants.gov, contact the Grants.gov help desk via e-mail at support@grants.gov.

or call Grants.gov at 1-800-518-4726. Grants.gov help hours are 24 hours a day, seven days a week; closed on federal holidays. Please keep this in mind when submitting an application with a Monday deadline.

The Grants.gov help desk will assign a case number to each inquiry. This number only documents the inquiry to the help desk and is in no way related to the tracking number that Grants.gov will assign to an application once it has been successfully submitted.

Tips for Working Successfully with Grants.gov:

- Start early! Go to www.grants.gov/applicants/get_registered.jsp to register. Utilize Grants.gov resources at www.grants.gov/applicants/applicant_faq.jsp. The Grants.gov Web site has checklists, FAQs, and online tutorials to assist you in preparing your organization to submit applications. You should also utilize the Central Contractor Registration (CCR) user's guide at www.bpn.gov/ccr/doc/CCRUsersGuide.pdf. Please note that your CCR registration must be renewed annually.
- Consider designating more than one Authorized Organization Representative (AOR) for your organization when you register. This will help to avoid last-minute crises in the event that a single AOR is unavailable when you are ready to submit your application. This person might not be the same person that you list as the Authorized Representative for IMLS. Also, you should update the AOR at Grants.gov each year or when staff at your organization changes.
- Make technological choices that help you.
 - Download the most recent version of Adobe® Acrobat® Reader® onto your computer for best results. Make sure to submit all documents in Adobe® PDF format.
 - Convert your documents into PDFs, using one of the tools available here: www.grants.gov/help/download_software.jsp#pdf_conversion_programs
 - Use Internet Explorer® for your browser when submitting the application to Grants.gov. Mozilla® Firefox® and Apple Safari® are not currently compatible with this process
- Contact Grants.gov help (www.grants.gov/help/help.jsp or 1-800-518-4726) for assistance with hardware and software issues, registration issues, or technical problems. Contact your program officer for assistance with guidelines, eligibility, project, or timeline (schedule of completion) questions. Grants.gov help hours are 24 hours a day, seven days a week; closed on federal holidays. Please keep this in mind when submitting an application with a Monday deadline.

Preparing an Application

Application Component Formats

An application requesting funding from the Native American/Native Hawaiian Museum Services grant program must include material in the following formats:

- **Grants.gov form:** These forms are available only in the package downloaded from Grants.gov. Applicants will need Adobe® Acrobat® Reader® to fill out these forms.
- **IMLS form:** These forms are available in both Microsoft® Word document and Fill-in PDF formats, and are located both in the downloaded Grants.gov file and [on the IMLS Web site](#). If you do not have Adobe® Acrobat® Pro, we suggest using the Word document to complete the forms. Remember, the Word versions **must be converted to and submitted as a PDF**.
- **PDF documents:** Applicants should convert any other required application components from their original formats into PDFs. **Again, they must be attached to the application as PDFs.**

For assistance in converting documents to PDF, visit
http://www.grants.gov/help/download_software.jsp#pdf_conversion_programs

Application Component Naming

The IMLS forms and other components that are part of the application must each be saved as a PDF that is named according to the example file names shown in the table that follows. Note: IMLS will not convert files for applicants and will not accept file formats other than PDF. Also, please do not send secured or encrypted PDFs because IMLS cannot process these files.

Append all of the documents to the Attachments form in the prescribed sequence. If there are more

attachments than will fit in the “Mandatory Documents for Submission” box on Grants.gov, please use the “Optional Documents for Submission” box for the remaining ones, following the same naming convention.

The table that follows lists the format required for each component, and the naming convention for application components. **The SF-424S and the Abstract are Grants.gov forms that will automatically be saved as PDFs.**

Applicants may use the table of components below as a checklist to ensure that they have created and attached all the documents that may be necessary for a complete application. We suggest assembling and uploading your documents in the suggested sequence to assist you in confirming the inclusion of all required materials.

Application Components

Component	Format	File name to use
Application for Federal Assistance/Short Organizational Form SF-424S	Grants.gov form	n/a
Abstract (one page maximum, to be uploaded through Grants.gov)	Text document that you create	n/a
Program Information Sheet	IMLS PDF form	Programinfo.pdf
Organizational Profile (one page maximum)	PDF document	Organizationalprofile.pdf
Narrative (five pages maximum)	PDF document	Narrative.pdf
Schedule of Completion (one page per year maximum)	PDF document	Scheduleofcompletion.pdf
Detailed Budget form (by year, as appropriate)	IMLS PDF form	Detailedbudgetyear1.pdf Detailedbudgetyear2.pdf Detailedbudgetyear3.pdf
Summary Budget form	IMLS PDF form	Summarybudget.pdf
Federally negotiated Indirect cost rate form (if applicable)	PDF document	Indirectcostrate.pdf
Budget Justification (two pages maximum)	PDF document	Budgetjustification.pdf
List of key project staff and consultants	PDF document	Projectstaff.pdf
Resumes of key project staff and consultants (two pages each, maximum)	PDF document	Resumes.pdf
Partnership Statement (if applicable)	IMLS PDF form	Partners.pdf
Proof of eligibility (for Native Hawaiian organizations only)	PDF document	Proofeligibility.pdf
Specifications for Projects that Develop Digital Products form (if applicable)	IMLS PDF form	Digitalproducts.pdf
Exhibition summary (if applicable)	PDF document	Exhibitionssummary.pdf
Supporting documentation (if applicable, 20 pages maximum)	PDF Document	Supportingdoc1.pdf Supportingdoc2.pdf Supportingdoc3.pdf Etc.

SF-424S: Application for Federal Domestic Assistance/Short Organizational Form

Note: Items 1-4 are automatically filled in by Grants.gov.

5. Applicant Information

a. Legal Name: Enter the legal name of the organization that is making the application. Please see [Eligibility](#) for eligibility details. If the eligible entity does not have the authority to apply directly to IMLS for funding, enter the name of the parent organization that is submitting the application on behalf of the eligible entity. Enter the name of the eligible entity in the space provided for "Organizational Unit" on the Program Information Sheet, Question 1b.

b. Address: Use Street1 for the organization's street address or post office box number, whichever is used for its U.S. Postal Service mailing address. Street2 is not a required field and should be used only when a Suite or Room Number or other similar information is part of the address.

In the Zip+4/Postal Code box, enter the **full nine-digit** Zip code assigned by the U.S. Postal Service. An organization's full Zip code can be retrieved at www.usps.com/zip4.

c. Web Address: Enter the Web address of the legal applicant.

d. Type of Applicant: Select the one code that best characterizes the applicant organization from the menu in the first dropdown box. Leave the other boxes blank. The following types of applicants are not eligible to receive Native American/Native Hawaiian Museum Services grants:

- Individual
- Public/Indian Housing Authority
- For-profit organization
- Small business
- Nondomestic (non-U.S.) entity

e. EIN/TIN: Enter the nine-digit number assigned by the IRS; do not use a Social Security number.

Note: The Taxpayer Identification Number (TIN) is an identification number used by the Internal Revenue Service (IRS) in the administration of tax laws. It is issued either by the Social Security Administration (SSA) or by the IRS. A Social Security number is issued by the SSA, whereas all other TINs are issued by the IRS. An Employer Identification Number (EIN), also known as a federal tax identification number, is a nine-digit number that the IRS assigns to business entities. The IRS uses this number to identify taxpayers that are required to file various business tax returns.

f. Organizational D-U-N-S®: All organizational applicants for federal funds must have a D-U-N-S® Number.

Note: To improve the statistical reporting of federal grants and cooperative agreements, the Office of Management and Budget (OMB) directed all federal agencies to require all applicants for federal grants to provide a Dun and Bradstreet Data Universal Numbering System (D-U-N-S®) number when applying for federal grants or cooperative agreements on or after October 1, 2003.

Organizations should verify that they have a D-U-N-S® Number or take steps to obtain one. Organizations can receive a D-U-N-S® Number at no cost by calling the dedicated toll-free D-U-N-S® Number request line at 1-866-705-5711 or by visiting www.dnb.com/us. Individuals who would personally receive a grant or cooperative agreement award from the federal government apart from any business or nonprofit organization they may operate are exempt from this requirement.

Ensure that the number entered here agrees with the number (either 9 or 13 digits) that was used with the CCR (Central Contractor Registry) as part of the Grants.gov registration.

g. Congressional District: Enter the number of the congressional district in which the applicant organization is located. Use the following format: two-letter state abbreviation, followed by a hyphen, followed by the three-digit district number. For example, if the organization is located in the 5th Congressional District of California, enter "CA-005." For the 12th district of North Carolina, enter "NC-012." For states and territories with "At Large" congressional districts—that is, one representative or delegate represents the entire state or territory—use "001," e.g., "VT-001."

If an organization does not have a congressional district (e.g., it is located in a U.S. territory that does not have districts), enter "00-000." To determine an organization's district, visit the House of Representatives Web site at www.house.gov and use the "Find Your Representative" tool.

6. Project Information

a. Project Title: Provide a brief descriptive title.

b. Project Description: Briefly describe the specific project, not the applicant organization. Use clear language that can be understood readily by readers who may not be familiar with the discipline or subject area.

c. Proposed Project Start Date/End Date: Enter the beginning and ending dates for the requested period of support, that is, the span of time necessary to plan, execute, and close out the proposed project. [See Project Start and End Date for Native American/Native Hawaiian Museum Services projects.](#)

7. Project Director

Provide the requested information for the project director, who will be responsible for carrying out the project and who will serve as the key contact person with IMLS regarding the progress achieved under the grant. Leave the Social Security number blank. Select a prefix (even though this field is not required on Grants.gov).

8. Primary Contact/Grants Administrator

Provide the requested information for the individual who should be contacted on all matters involving this application and the administration of any grant that may be awarded. For colleges and universities, this person is often a sponsored research, sponsored programs, or contracts and grants officer. In some museums, the person could be the development director. Leave the Social Security number blank. Select a prefix (even though this field is not required on Grants.gov). In some organizations, particularly smaller ones, this individual may be the same as the project director. If this is the case, check the "Same as Project Director" box. (If the primary contact/grants administrator is the same as the authorized representative, please complete all items under both 8 and 9 even though there will be some repetition.)

9. Authorized Representative

Enter the name and contact information of the person who has the authority to apply for federal support of the applicant's activities and enter into legal agreements in the name of the applicant. The authorized representative should not be the same person as the project director. By checking the "I Agree" box at the top of Item 9, this individual certifies the applicant's compliance with relevant federal requirements (the "IMLS Assurances and Certification " section). All written correspondence will be addressed to the Authorized Representative.

For Grants.gov applications, the "Signature of Authorized Representative" and "Date Signed" boxes will be populated upon submission of the application. Submission of the application by the Authorized Representative certifies compliance with relevant federal requirements as the signature does on a paper application.

Abstract

A project abstract that does not exceed one single-spaced page must be provided. Insert the text (that you create) into the Abstract form provided in the package downloaded from Grants.gov.

Information in the abstract should cover the following areas as related to the proposed project:

- Who is the lead applicant and, if applicable, who are the formal partners?
- What is the time frame for the project?
- Who is the intended audience for the activities?
- What will be the specific project activities, outcomes, and tangible products?
- What are the intended outcomes for audience members in terms of measurable changes in knowledge, skills, attitudes, or behavior?

This abstract may be used by IMLS for public information purposes, so it should be informative to other persons working in the same or related fields and insofar as possible understandable to a technically literate lay reader. The abstract must not include any proprietary or confidential information.

Program Information Sheet

1. Applicant Information

a. Legal Name: Enter the legal name of the applicant.

b. and c. Organizational Unit and Address:

If the eligible entity cannot apply for grants on its own behalf, then enter the name and address of the entity in these spaces. For example, if a museum that is part of a parent organization, such as a university, is applying, the university would be the legal applicant, and the museum would be entered as the organizational unit. Be sure to include the four-digit extension on the Zip code. Note: A friends group is not eligible unless it is the legal governing authority for the applicant organization.

d. Web Address: If an organizational unit is listed, enter its Web address here. If not, enter the Web site of the entity listed at Legal Name in section 1a above.

e. Type of Institution: Select the one that most accurately describes the applicant. [See eligible organizations.](#)

2. Grant Program or Grant Program Category

Select on category listed under “k. Native American/Native Hawaiian Museum Service”

- Programming
- Professional Development
- Enhancement of Museum Services

3. Request Information

a. IMLS Funds Requested: Enter the amount sought from IMLS.

b. Cost Share Amount: This program does not require cost sharing. [Click here for further information.](#)

4. Museum Profile (Museum applicants only)

Museum applicants must answer all questions in this section.

Note: If an applicant indicates a budget surplus or deficit for one or both of the two previous fiscal years on the Program Information Sheet, an explanation should be provided in the application narrative, section 3: Project Resources. This explanation is intended to assist reviewers in evaluating the financial capacity of the applicant to complete the project activities.

5. Partners Names

In the space provided list all organizations that are official partners of the project. Note: Each partner listed in this section is also required to complete and submit a Partnership Statement form to the lead applicant for submission with the application

6. Native Hawaiian Organization Eligibility

Complete this section only if applicant is an organization servicing Native Hawaiians. If “yes” is selected, proof of eligibility is required with submission of application—[see section on Proof of Eligibility for details.](#)

7–8

Applicants for Native American/Native Hawaiian Museum Services should skip these sections.

Organizational Profile

Provide an organizational profile of no more than one page. Include the following information:

- The applicant's mission or statement of purpose, noting the source, approving body, and date of the official document in which it appears. The applicant may quote from or summarize to convey the essential points of the statement if the statement itself is too long to be quoted in full. It must accurately portray the applicant's mission or purpose.
- The applicant's service area (communities and/or audiences served, including size, demographic characteristics, and geographic area).
- A brief history of the institution or organization.

Narrative

Limit the narrative to five single-spaced, numbered pages. Applicant's name must appear at the top of

each page.

All pages should have at least 0.5 inch margins on all sides, and the font size should be no smaller than twelve point type. Use the Optional Attachments to provide supplementary material.

The following pages provide guidance in preparing the narrative component of Native American/Native Hawaiian Museum Services grant application. There are four sections to the narrative. Applicants must address each section and related review criteria separately, and in the same order in which they are listed below. Review criteria are listed with each section of the narrative. These criteria describe what the reviewers are instructed to consider as they evaluate the proposal. A well-designed proposal narrative is thorough and succinct while addressing the bullet points under each section as well as the review criteria.

IMLS reviewers base their evaluations only on the information presented in the application. This makes it very important for applicants to prepare a clear, concise, well-organized document.

1. Statement of Need

Include a statement of need as it relates to the museum and the community. Include information such as

- a description of the community
- current status of the museum
- how the proposal will improve museum services
- impact on the community from improved museum services

Review Criteria: Evidence that the applicant has performed a formal or informal assessment of museum and community needs, and has developed the project and its goals as the best solution to answer those needs.

2. Project Design

Include a description of the proposed project design. Include information such as

- project goals and objectives
- action steps and activities to implement the project evidence that the applicant is capable of implementing the project plan
- evidence that the applicant is capable of successfully completing the project

Review Criteria: Evidence that the project proposes efficient, effective, and reasonable approaches to accomplish its clear goals and objectives. Evidence that the methodology and design are appropriate to the scope of the project. Evidence that the applicant will effectively complete the project. Evidence that the project personnel possess appropriate experience and will commit adequate time to accomplish project goals and activities.

3. Project Resources: Time, Personnel, Budget

Describe project resources, both those funded by the grant and those funded by the optional institutional cost share. Include information such as

- time allocated to complete project;
- key staff and consultants involved in project, their qualifications, commitment to project activities, and how they will balance project responsibilities with other ongoing duties;
- budget allocated to accomplish project activities, including both the applicant's contributions and the optional cost share.

Review Criteria: Evidence that the applicant will effectively complete the project activities through the deployment and management of resources, including money, facilities, equipment, and supplies. Evidence of sound financial management, coupled with an appropriate and cost efficient budget. Evidence that the project personnel demonstrate appropriate experience and expertise and will commit adequate time to accomplish project goals and activities. For this section of the application, reviewers will consider the Narrative, Budget Forms, Budget Justification, and Resumes.

4. Impact

Describe how the project will impact the museum and what approach will be used for monitoring and assessing the activities of the project. Include information such as

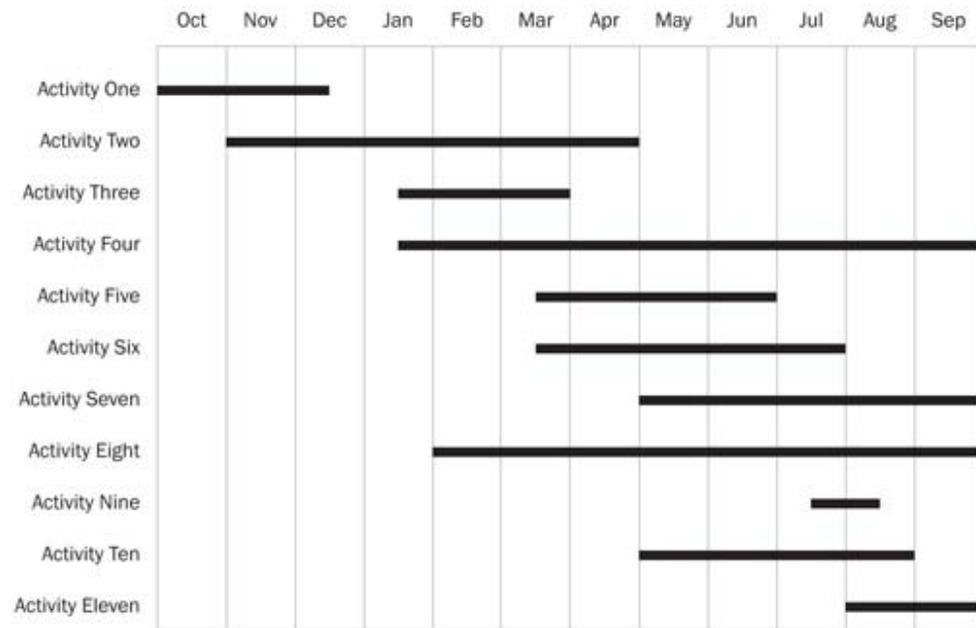
- specific outcomes that will result from the project
- plan to maintain and continue the positive changes after the period of federal funding what information will be collected to document the extent to which the project met its goals

Review criteria: Evidence that the project will create specific changes and benefits for the applicant, and/or the community served. Evidence that the applicant has plans to sustain those changes and benefits beyond the grant period. Evidence that the evaluation plan ties directly to the project goals and is appropriate in determining project impact.

Schedule of Completion

The applicant must provide a Schedule of Completion that shows when each major project task will be undertaken, marks the milestones for each grant activity, and designates how grant funds will be spent throughout the project. The Schedule of Completion must also correspond to the activities described in the narrative, and the project dates on the Application for Federal Domestic Assistance/Short Organizational Form (SF-424S) and Budget Forms. One way to plot this information is in a graph or chart that lists project activities and the corresponding months when these activities would take place during the project. See example below. Applicants may prepare theirs in a similar manner, but this format is not required. This document may also be created as a narrative or spreadsheet but should be no longer than one page per year. Whichever format is selected, be sure to list each major project activity addressed in the application narrative and the date each activity begins and ends. If the proposed activity is part of a larger project, make sure the IMLS-funded portion is clearly identified.

Click image below for a larger view.



The chart shown here is a sample format. Applicants should prepare their own schedules in a format that supports their project most effectively.

Budget

The application requires three elements to describe the costs of a proposed project:

- Detailed Budget
- Summary Budget
- Budget Justification

Detailed Budget

Applicants need to fill out a copy of the Detailed Budget Form for each year of the project. The first Detailed Budget Form should begin on the project start date and end 12 months later. If the project timeline exceeds one year, the subsequent 12-month period(s) must be shown on separate Detailed

Budget Forms. (Note: If the project timeline exceeds any number of months beyond a 12-month period, an additional Detailed Budget Form is required for the subsequent months. No more than 12 months may be included on a single Detailed Budget Form.)

The budget should include the project costs that will be charged to grant funds as well as those that will be supported by cost sharing (from the applicant or other sources). In-kind contributions to cost sharing may include the value of services or equipment that is donated to the project. All of the items listed, whether supported by grant funds or cost-sharing, must be reasonably necessary to accomplish project objectives, allowable in terms of the applicable federal cost principles, auditable, and incurred during the grant period. Charges to the project for items such as salaries, fringe benefits, travel, and contractual services must conform to the written policies and established practices of the applicant organization. All revenues generated with project funds during the grant period must be reported as program income and should be applied to the applicant's cost sharing. When indirect costs are charged to the project, care should be taken to ensure that expenses included in the organization's indirect cost pool (see "Indirect Costs" below) are not charged to the project as direct costs.

"Method of Cost Computation" can refer to a percentage of a person's time devoted to the project, a number of days, a quantity of items, and so on. This column should clarify how the applicant arrived at the costs indicated.

1. **Salaries and Wages:** Indicate both temporary and permanent staff by noting "temp" or "perm" in parentheses after each staff member listed.
2. **Fringe Benefits:** Fringe benefits may include contributions for Social Security, employee insurance, pension plans, etc. Only those benefits not included in an organization's indirect cost pool may be shown as direct costs. Fringe benefits may only be claimed on the portion of Salaries and Wages identified for this project.
3. **Consultant Fees:** List the individuals or groups who will provide consulting services for the project and their fees, and explain the method of computation for the fees.
4. **Travel:** Applicants must include \$2,000/year for travel to attend IMLS-designated meetings. For partnership projects, include \$4,000/year for such travel. The lowest available commercial fares for coach or equivalent accommodations must be used, and foreign travel must be undertaken on U.S. flag carriers when such services are available, in accordance with applicable U.S. legal requirements (ex. the Fly America Act).
5. **Supplies and Materials:** In general, list the costs of material purchased specifically for the proposed project. Permanent equipment is defined as nonexpendable personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more.
6. **Services:** List the costs of project activities to be undertaken by a third-party contractor, including a partner, under this budget category as a single line item that shows the amount that will be charged to IMLS grant funds and the cost sharing that will be contributed by the third party. Attach a complete itemization of these costs to the IMLS Budget Form. If there is more than one contractor, list the cost of each contract separately on the IMLS Budget Form and include an attached itemization.
7. **Student Support:** Ignore this section. It does not apply to Native American/Native Hawaiian Museum Services grant program.
8. **Other Costs:** Please do not use the "Other Costs" section to list items that did not fit in the number of lines allotted for another section. If more lines are needed for a specific section, the information should be summarized in the Detailed Budget form and explained in the Budget Justification.
9. **Total Direct Costs:** Add the subtotal amounts from the previous sections.
10. **Indirect Costs:** Indirect costs are project costs that an organization incurs that cannot be easily assigned to an individual project. They are also called "overhead" or "administrative costs." Examples of indirect cost –type items are charges for utilities, insurance, use of office space and equipment owned by the applicant, local telephone service, and the salaries of the management and administrative personnel of the organization.
11. **Total Project Costs:** Complete the first line; ignore the second line, which is specific to another IMLS grant program.

Use of Indirect Cost Rates

If an organization applying for an IMLS grant already has an existing negotiated indirect cost rate in effect with another federal agency, this rate may be used to calculate total project costs, as long as the rate is applied in accordance with the terms of the negotiated agreement, and a copy of the negotiated agreement is included as supporting documentation with the IMLS application. IMLS will not accept an indirect cost rate that is scheduled to expire before an award is issued.

If an organization is in the process of negotiating an indirect cost rate with another federal agency, the proposed indirect cost rate may be used to estimate total project costs, as long as the proposed rate is applied in accordance with the terms of the proposed agreement, and a copy of the indirect cost proposal is included as supporting documentation with the IMLS application. In such situations, if a grant is awarded, IMLS will not pay any indirect costs until a final indirect cost rate is negotiated with another agency, and a copy of the final agreement is submitted to the IMLS Office of Grants Administration. It is possible that the amount of the IMLS award will be reduced if the final negotiated rate is less than the rate that was used for budget estimates in the application budget. However, the amount of the IMLS award will not be increased if the final negotiated indirect cost rate is higher than the rate that was used for budget estimates in the application budget.

An organization with an existing negotiated agreement or an organization currently in the process of negotiating a rate agreement with another federal agency must calculate total project costs using an indirect cost rate appropriate to the type of proposed project activity. For example, an organization may only calculate total project costs using an existing negotiated rate for research activity if the activity proposed to IMLS is a research project. Once an indirect cost rate is accepted by IMLS, this rate shall be considered fixed for the duration of the award even if, during the course of the award, the grantee negotiates a new indirect cost rate.

Organizations that do not have a negotiated indirect cost rate in effect with any federal agency, and do not wish to negotiate one, may use an indirect cost rate of up to 15 percent to calculate total project costs. If an applicant chooses to use this rate, it must be careful to exclude from the budget all indirect -cost type items (administrative) such as but not limited to general telephone, postage, office supplies, and office space expenses. The 15 percent rate **may not be applied to more than the first \$5,000 of distorting costs** such as equipment purchases and contracts.

IMLS will pay indirect cost rates only on that portion of Total Direct Costs that the applicant is requesting to be supported by IMLS funds. However, an applicant may also apply an appropriate indirect cost rate to the Cost Share portion of a project's Total Direct Costs, and use this as part of the calculated cost sharing in the project budget.

The cost of student scholarships, fellowships, other stipends, and/or tuition may not be included in the amount on which indirect costs are requested.

These instructions also apply to an organization that will function as a partner in undertaking grant activities.

Cost Sharing

Cost sharing is encouraged but not required in this program. IMLS does not allow federal funds to be used for cost sharing.

All cost-sharing expenses must be incurred during the grant period, not before or after. Indian tribes and organizations that primarily serve and represent Native Hawaiians must maintain documentation of cost sharing for reporting purposes to IMLS. In-kind contributions may be used for cost sharing if they specifically relate to the project. If any funds are to be contributed as cost share by sources other than the applicant or its official partners, the applicant must identify whether the commitment of funds is assured or pending. If the funds are assured, the applicant should include a letter from the source affirming its commitment. If the funds are not assured, the applicant should describe its plan for meeting the promised cost share from other sources in the event that the pending funds are not received. IMLS and government-wide uniform administrative, cost, and audit rules and requirements apply, including appropriate OMB circulars. Your cost sharing may consist of:

- cash contributions (funds allocated directly to the project by the applicant or a third party), and
- in-kind contributions (the value of noncash contributions provided by the applicant or a third party, e.g., staff time [if salaries are not paid with federal funds], volunteer time, materials and supplies, and services).

The limitation on using federal funding as cost share applies to salaries, equipment, services, etc., funded by federal dollars. Costs such as rent (if space is owned by the Indian tribe or organization that primarily serves and represents Native Hawaiians), utilities, and insurance are considered to be part of indirect costs and will not be accepted as direct costs requested from IMLS, or as part of direct cost in-kind contributions if an indirect cost rate or the 15 percent administrative fee is charged to the project. If personnel or resources funded by federal dollars are a part of the project design and/or management plan, their role may be described in the application narrative. Indirect costs may be used as cost sharing.

Indirect costs, often referred to as overhead costs, are not attributable to a specific project or activity of an organization.

Maintenance of Effort

Although matching or cost sharing is not required in this program, IMLS requires that organizations maintain their previous funding efforts and demonstrate that federal funds will enhance, rather than replace, tribal funding for museum services. Applicants are encouraged, when possible, to contribute financially or through in-kind services to proposed projects in order to promote community interest and involvement.

Summary Budget

The Summary Budget should clearly identify the amount requested from IMLS and the amount provided as in-kind contributions by the applicant, by any partners, and from any other sources.

Federally Negotiated Indirect Cost Rate Agreement

If the organization has a federally negotiated indirect cost rate agreement that will be current at the time the project will begin then the applicant may submit this document and claim the approved rate on the IMLS budget forms.

Budget Justification

The budget justification is a narrative document that explains and gives further detail about all Detailed Budget form line items. For example, the budget justification should explain the role that each person listed in the project budget will play. It should also provide justification for all proposed equipment, supplies, travel, services, and other expenses. The application should provide specifications for all hardware and software for which IMLS funding is requested. Any costs that were consolidated and summarized in the detailed budget form should be itemized and explained within the appropriate section of the budget justification. The format of the budget justification should follow the section headings on the Detailed Budget form. The document must not exceed two pages in length.

IMLS encourages applicants to contribute as cost share the salaries of permanent staff to be employed on a project in proportion to the amount of time they will spend on the project. If IMLS funding is requested for salaries of permanent staff, the narrative should explain why funds are requested for this purpose and how the regular duties of these individuals will be performed during the grant period. The narrative should also explain the role of any outside consultants and third-party vendors to be employed on the project and how each was identified and selected. Costs for third-party service providers should be documented by bids or otherwise justified. The cost of project activities to be undertaken by a third-party contractor, or a partner, should be listed under "Services" on the Detailed Budget form as a single line item that shows the amount that will be charged to IMLS grant funds and the cost sharing that will be provided by the third party. A complete itemization of these costs should be included as part of the budget justification. If there is more than one contractor, the cost of each contract must be listed separately on the Detailed Budget form and an itemization must be included as part of the budget justification.

List of Key Project Staff, Consultants, and Service Providers

Provide a one-page list of the key museum staff, consultants, and service providers who will be directly involved in the project.

Resumes for List of Key Project Staff, Consultants, and Service Providers

Provide resumes of no more than two pages each for all key project staff, consultants, and service providers on this list. Resumes that exceed the two page limit will have the remaining pages removed by IMLS staff.

Note: If the key project personnel cannot be identified by the application deadline date, then submit position descriptions instead. A position description does not identify a specific individual, but rather identifies the qualities and range of experience and education that is necessary to successfully implement

project activities.

Partnership Statement

Complete a Partnership Statement for each formal partner involved in the proposed project and listed under Section 5 of the Program Information Sheet.

Applicants should save each Partnership Statement with a distinct file name that includes the word “*Partner*” and a short form of the partner’s name—e.g., PartnerName1.pdf or PartnerName2.pdf. Then add each document to the Attachments form, following the sequence in the Application Checklist. At the top of the Partnership Statement, enter the legal name of the applicant organization. This information should match that provided on the SF-424S and the Program Information Sheet.

1–5 (if applicable)

Provide all of the information requested for the partner organization. If the partner organization does not have a D-U-N-S® Number, refer the partner to [information and instructions](#) on how to secure one. To obtain a full Zip+4 postal code, visit www.usps.com/zip4.

6. Governing Control of Partner (if applicable)

Check one box to indicate the partner’s governing control.

7–9

Provide the information requested for each of these items. The limits on the amount of text allowed are given in the item statement on the form. The applicant must ensure that each partner also provides a signed original version of the Partnership Statement to the applicant, and that the applicant will make this form available to IMLS if requested by IMLS.

Proof of Eligibility

Required for Native Hawaiian organizations only. If the applicant is not a Native Hawaiian organization, do not include this information in application.

Applicants must submit proof that they are eligible not-for-profit organizations that primarily serve and represent Native Hawaiians (as defined in 20 U.S.C. Section 7517). As proof of eligibility, applicants must submit the organization’s charter documents, including the organization’s articles of incorporation. Applicants may provide additional proof of eligibility.

In addition, eligible not-for-profit organizations that primarily serve and represent Native Hawaiians must submit proof of not-for-profit status, which may be either

- a copy of the IRS letter indicating the organization’s eligibility for not-for-profit status under the applicable provisions of the Internal Revenue Code of 1954, as amended, or
- an official document identifying the organization as a unit of state or local government or other tax-exempt multipurpose organization. If prepared specifically for this application, the certification must be on the parent organization’s letterhead and certified by an official of the parent organization.

Note: IMLS will not accept a letter of sales tax exemption as proof of nonprofit status.

Specifications for Projects that Develop Digital Products

This form, instructions for completing the form, and a list of useful related resources are downloadable [from the IMLS Web site](#). Once completed, this form should be saved as a PDF and added to other application documents to be submitted through Grants.gov.

Exhibition Summary (if applicable)

If applying for an exhibition-related activity (for example, exhibition development, public programs, Web site or other digital content) a one-page maximum exhibition summary must be included that addresses the exhibition theme, content, size, and any other pertinent details.

Supporting Documents for Native American/Native Hawaiian Museum Services Applicants

Supporting documents should specifically relate to the justification for the project. IMLS encourages applicants to include only information that will supplement the narrative and support the information provided in the application. Applicants should not use attachments to answer narrative questions. IMLS strongly encourages inclusion of needs assessments (formal or informal documentation used to evaluate and plan projects, which can include surveys, reports, etc.); reports from planning activities ; products or evaluations from previously completed or ongoing projects of a similar nature; or other documents for the evaluation of the proposal. Other attachments could include letters of support from partners or other groups that the museum works closely with on this project, collections, technology, or other departmental plans for the institution as applicable to the proposed project. Where possible, within the application narrative, applicants may provide Web links to relevant online materials.

Note: When attaching these documents give each one a specific title for clear identification. All supporting documentation should include dates of creation and authorship. Total number of attachments must not exceed 20 pages. IMLS will remove any supplemental materials above the 20-page limit. They will not be sent to reviewers as part of the application.

[back to top](#)

Grant Applicants

Program Guidelines

Native American/Native Hawaiian Museum Services Program -- FY 2011 Guidelines

Navigating the Grant Program Guidelines

[Home page](#)

[Contents](#)

[Section One: General Information](#)

[Section Two: Preparing and Submitting an Application](#)

You are currently on: Section Three: IMLS Assurances and Certification

IMLS Assurances and Certification

IMLS is required to obtain from all applicants certifications regarding federal debt status, debarment and suspension, nondiscrimination, and a drug-free workplace. Applicants requesting more than \$100,000 in grant funds must also certify regarding lobbying activities and may be required to submit a "Disclosure of Lobbying Activities" form (Standard Form LLL). Some applicants will be required to certify that they will comply with other federal statutes that pertain to their particular situation. These requirements are incorporated in the Assurances Statement below. The authorized representative must review the statement and provide the certification in item 9 on the Application for Federal Domestic Assistance/Short Organizational Form (SF-424S).

Assurances Statement

By signing the application form, the authorized representative, on behalf of the applicant, assures and certifies that, should a grant be awarded, the applicant will comply with the statutes outlined below and all related IMLS regulations (see 45 C.F.R. Chapter XI and 2 C.F.R. Chapter XXXI). These assurances are given in connection with any and all financial assistance from IMLS after the date this form is signed, but may include payments after this date for financial assistance approved prior to this date. These assurances shall obligate the applicant for the period during which the federal financial assistance is extended. The applicant recognizes and agrees that any such assistance will be extended in reliance on the representations and agreements made in these assurances, and that the United States government has the right to seek judicial enforcement of these assurances, which are binding on the applicant, its successors, transferees, and assignees, and on the authorized official whose signature appears on the application form.

Certifications Required of All Applicants

Financial, Administrative, and Legal Accountability

The authorized representative, on behalf of the applicant, certifies that the applicant has legal authority to apply for federal assistance and the institutional, managerial, and financial capability (including funds sufficient to pay the nonfederal share of project costs) to ensure proper planning, management, and completion of the project described in this application.

The authorized representative, on behalf of the applicant, certifies that the applicant will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. § 7501 et seq.) and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

The authorized representative, on behalf of the applicant, certifies that the applicant will comply with the

provisions of applicable OMB Circulars.

Federal Debt Status

The authorized representative, on behalf of the applicant, certifies to the best of his or her knowledge and belief that the applicant is not delinquent in the repayment of any federal debt.

Debarment and Suspension

The applicant shall comply with 2 C.F.R. part 3185. The authorized representative, on behalf of the applicant, certifies to the best of his or her knowledge and belief that neither the applicant nor any of its principals:

1. are presently excluded or disqualified;
2. have been convicted within the preceding three years of any of the offenses listed in 2 C.F.R. § 180.800(a) or had a civil judgment rendered against it or them for one of those offenses within that time period;
3. are presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses listed in 2 C.F.R. § 180.800(a); or
4. have had one or more public transactions (federal, state, or local) terminated within the preceding three years for cause or default.

Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

The applicant, as a primary tier participant, is required to comply with 2 C.F.R. part 180 subpart C (Responsibilities of Participants Regarding Transactions Doing Business with Other Persons) as a condition of participation in the award. The applicant is also required to communicate the requirement to comply with 2 C.F.R. part 180 subpart C (Responsibilities of Participants Regarding Transactions Doing Business with Other Persons) to persons at the next lower tier with whom the applicant enters into covered transactions.

Nondiscrimination

The authorized representative, on behalf of the applicant, certifies that the applicant will comply with the following nondiscrimination statutes and their implementing regulations:

1. Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. § 2000 et seq.), which prohibits discrimination on the basis of race, color, or national origin;
2. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 701 et seq.), which prohibits discrimination on the basis of disability;
3. Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681–83, 1685–86), which prohibits discrimination on the basis of sex in education programs; and
4. the Age Discrimination in Employment Act of 1975, as amended (42 U.S.C. § 6101 et seq.), which prohibits discrimination on the basis of age.

Drug-Free Workplace

The authorized representative, on behalf of the applicant, certifies, as a condition of the award, that the applicant will or will continue to provide a drug-free workplace by complying with the requirements in 2 C.F.R. part 3186 (Requirements for Drug-Free Workplace (Financial Assistance)). In particular, you as the recipient must comply with drug-free workplace requirements in subpart B (or subpart C, if the recipient is an individual) of 2 C.F.R. part 3186, which adopts the Government wide implementation (2 C.F.R. part 182) of sec. 5152-5158 of the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D; 41 U.S.C. 701-707).

This includes, but is not limited to: making a good faith effort, on a continuing basis, to maintain a drug-free workplace; publishing a drug-free workplace statement; establishing a drug-free awareness program for your employees; taking actions concerning employees who are convicted of violating drug statutes in the workplace; and identifying (either at the time of application or upon award, or in documents you keep on file in your offices) all known workplaces under your Federal awards.

Certification Regarding Lobbying Activities (Applies to Applicants Requesting Funds in Excess of \$100,000)

The authorized representative certifies, to the best of his or her knowledge and belief, that:

- (a) no federal appropriated funds have been paid or will be paid by or on behalf of the authorized representative to any person for influencing or attempting to influence an officer or employee of any

agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of a federal contract, the making of a federal grant, the making of a federal loan, the entering into of a cooperative agreement, or the extension, continuation, renewal, amendment, or modification of a federal contract, grant, loan, or cooperative agreement;

(b) if any funds other than appropriated federal funds have been paid or will be paid to any person (other than a regularly employed officer or employee of the applicant) for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the authorized representative shall request, complete, and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions; and

(c) the authorized representative shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

General Certification

The authorized representative, on behalf of the applicant, certifies that the applicant will comply with all applicable requirements of all other federal laws, executive orders, regulations, and policies governing the program. IMLS grant regulations may be found at 45 C.F.R. Chapter XI and 2 C.F.R. Chapter XXXI.

Certifications Required of Some Applicants

The following certifications are required if applicable to the project for which an application is being submitted. Applicants should be aware that additional federal certifications, not listed below, might apply to a particular project.

Subcontracts

A grantee may not make a subgrant (for more details, see 45 C.F.R. Chapter XI, Subchapter E [Institute of Museum and Library Services]). Applicants who plan to use awards to fund contracts and subcontracts should be aware that they must comply with the communication and verification requirements set forth in the above Debarment and Suspension provisions.

Native American Human Remains and Associated Funerary Objects

The authorized representative, on behalf of the applicant, certifies that the applicant will comply with the provisions of the Native American Graves Protection and Repatriation Act of 1990 (25 U.S.C. § 3001 et seq.), which applies to any organization that controls or possesses Native American human remains and associated funerary objects, and which receives federal funding, even for a purpose unrelated to the Act.

Historic Properties

The authorized representative, on behalf of the applicant, certifies that the applicant will assist the awarding agency in ensuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470f), Executive Order (E.O.) 11593, and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. § 469 et seq.).

Environmental Protections

The authorized representative, on behalf of the applicant, certifies that the project will comply with environmental standards, including the following:

- (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969, as amended (42 U.S.C. § 4321 et seq.) and E.O. 11514;
- (b) notification of violating facilities pursuant to E.O. 11738;
- (c) protection of wetlands pursuant to E.O. 11990, as amended by E.O. 12608;
- (d) evaluation of flood hazards in floodplains in accordance with E.O. 11988, as amended;
- (e) assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972, as amended (16 U.S.C. § 1451 et seq.);
- (f) conformity of federal actions to State (Clean Air) Implementation Plans under section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.);
- (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (42 U.S.C. § 300f et seq.); and
- (h) protection of endangered species under the Endangered Species Act of 1973, as amended (16 U.S.C. §§ 1531–1543).

The authorized representative, on behalf of the applicant, certifies that the project will comply with the Wild

and Scenic Rivers Act of 1968, as amended (16 U.S.C. § 1271 et seq.), related to protecting components or potential components of the national wild and scenic rivers system.

The authorized representative, on behalf of the applicant, certifies that the applicant will comply with the flood insurance requirements of the Flood Disaster Protection Act of 1973, as amended (42 U.S.C. § 4001 et seq.), which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

Research on Human and Animal Subjects

The authorized representative, on behalf of the applicant, certifies that the project will comply with 45 C.F.R. part 46 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

The authorized representative, on behalf of the applicant, certifies that the project will comply with the Laboratory Animal Welfare Act of 1966, as amended (7 U.S.C. § 2131 et seq.) pertaining to the care, handling, and treatment of warm-blooded animals held for research, teaching, or other activities supported by this award of assistance.

• • •

For further information on these certifications, contact IMLS, 1800 M Street, NW, 9th Floor, Washington, DC 20036. Or call 202/653-IMLS (4657).

[back to top](#)