



One Year Later: Five-Year Evaluations and Plans

Dennis Nangle and Teri DeVoe



Over the Last Year...

- March 30, 2017: Five-Year Evaluations Due
- June 30, 2017: Five-Year Plans Due
- Since then:
 - IMLS acceptances/approvals sent
 - Evaluations, Plans, and Plan highlights posted to IMLS website
 - Five-Year Evaluation analysis released publicly
- Today: deeper analysis and next steps



Five-Year Evaluations (2013-17)

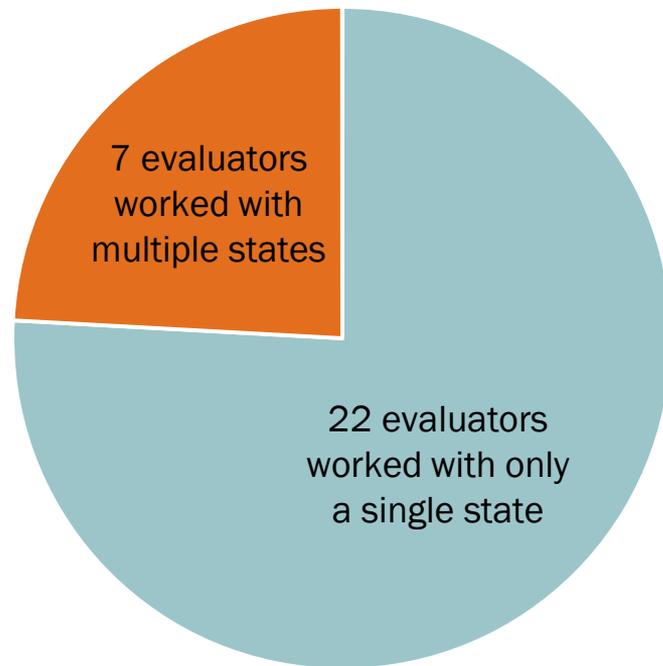
- \$770 million distributed in this five-year cycle
- Evaluation guidelines re-shaped to bring in comparable data
- Common set of questions around:
 - State Goals
 - IMLS Focal Areas
 - Beneficiary Groups



Evaluators

- There were 29 total evaluators (5 with some degree of overlap under different affiliations)
- Only 1 evaluator was in-house, the rest were third party
- One evaluator worked with 20 states, other evaluators worked with 1, 2 or 3 states
- Most (22 or 76% of) evaluators worked with a single state

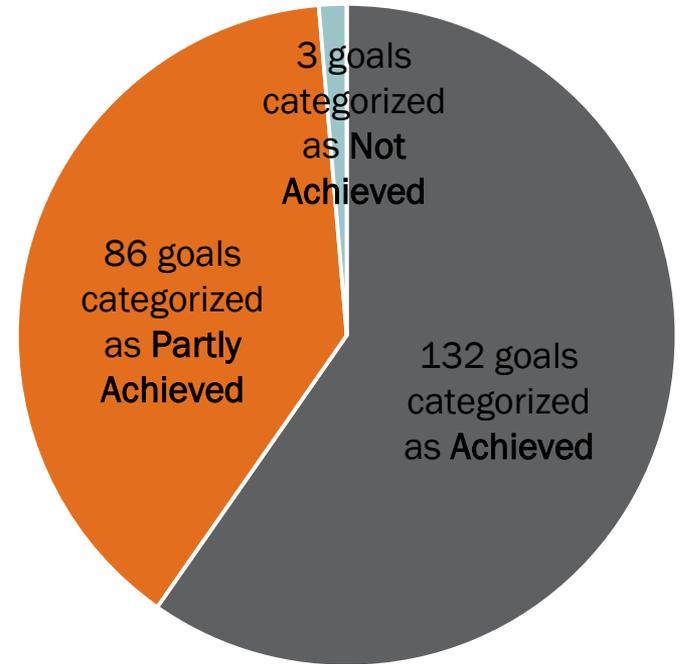
Evaluators with Single or Multiple States



Goals

- Out of 221 goals across all states, the majority (132 or 60%) were categorized as Achieved
- 23 states categorized all goals as Achieved
- 12 states categorized all goals as Partly Achieved
- 21 states categorized goals with a mix of indicators

Goal Categorization

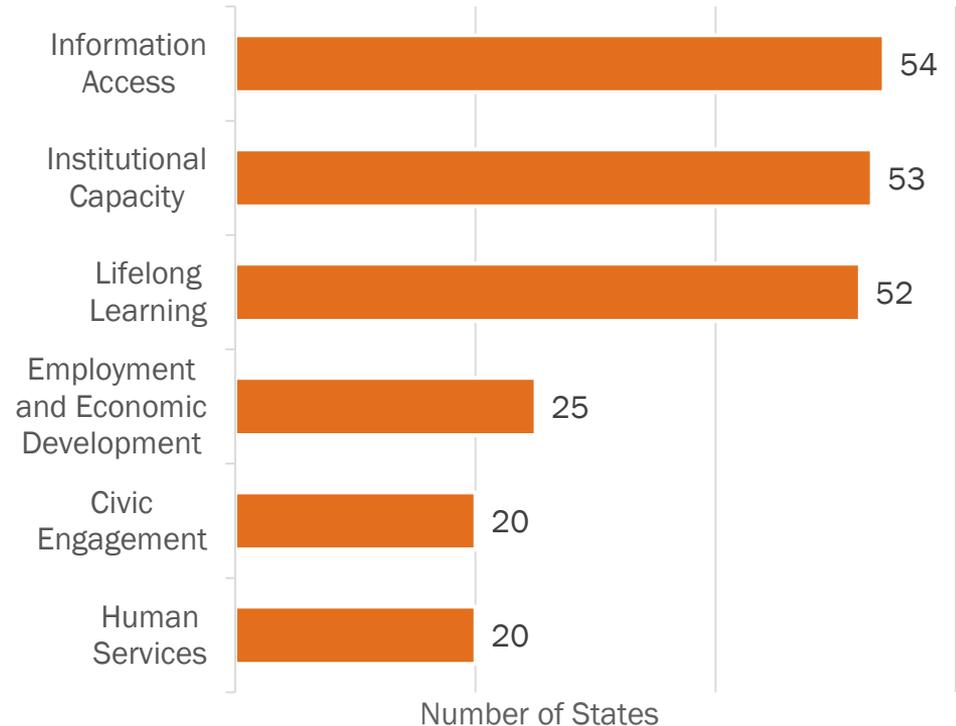


■ Achieved ■ Partly Achieved ■ Not Achieved

Focal Areas

- Nearly every one of the 56 states and territories aligned their goals with three predominant IMLS focal areas: information access, institutional capacity, and/or lifelong learning
- Fewer than half of the 56 states and territories aligned the remaining three focal areas with their goals: employment and economic development, civic engagement, and human services

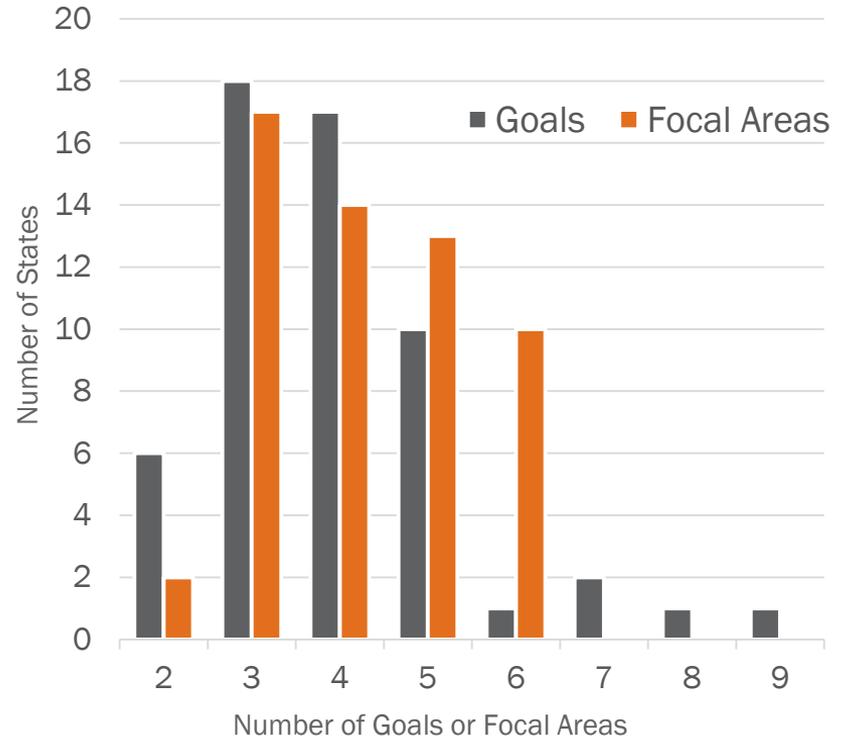
IMLS Focal Areas Selected by Number of States



Goals and Focal Areas

- Average number of goals: 3.9
- Average number of the six focal areas: 4.2
- There was a slight *negative* correlation between the number of goals and the percentage of goals achieved (-0.10); as the number of goals increased, the likelihood of achieving goals was decreased
- There was no correlation between number of focal areas and achievement

Numbers of Goals and Focal Areas

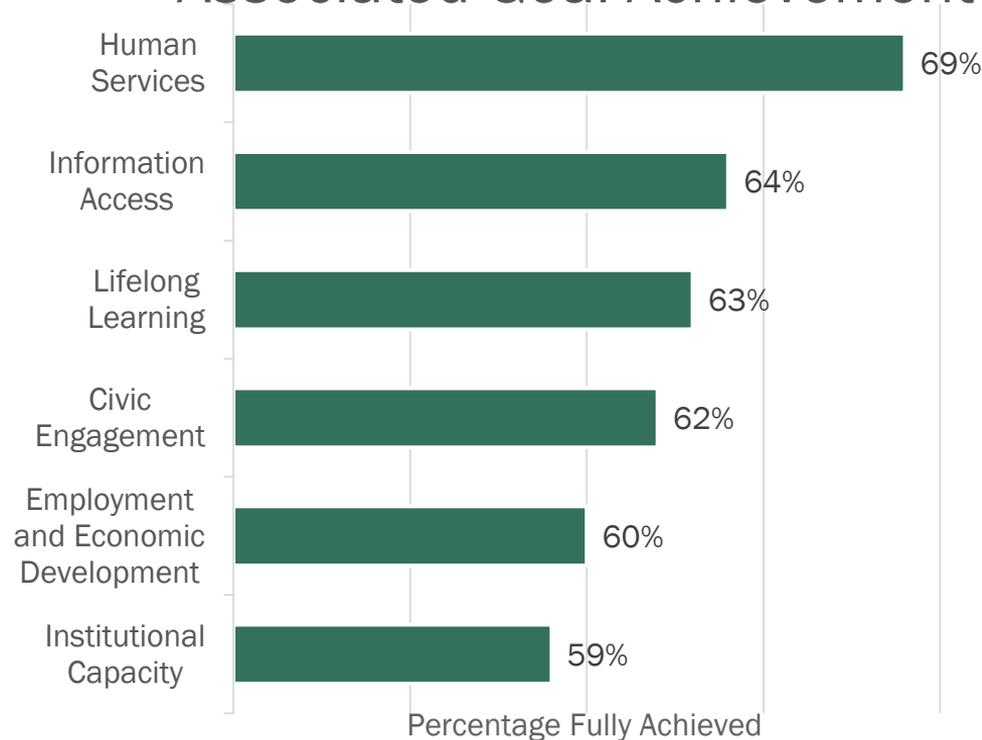




Focal Areas

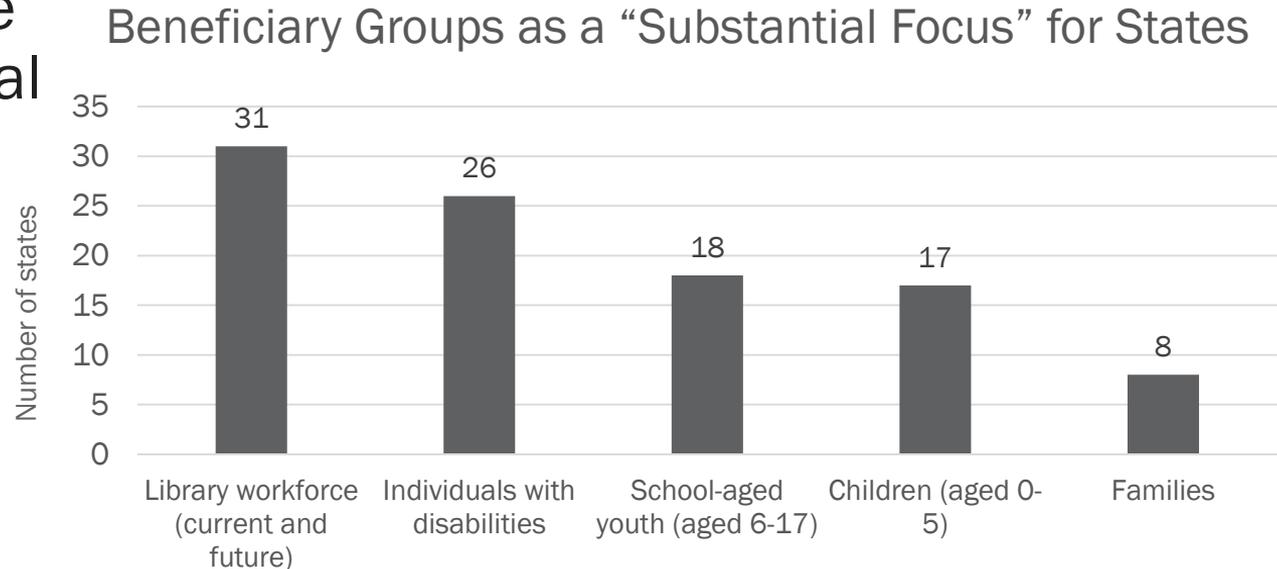
- Goals that related to the human services focal area were most likely to be reported as achieved (69%), while those associated with institutional capacity were least likely (59%)

IMLS Focal Areas by Associated Goal Achievement



Beneficiary Groups

- Library Workforce was a “substantial focus” for the highest number of states
- 6 states noted no substantial focus on specific beneficiary groups



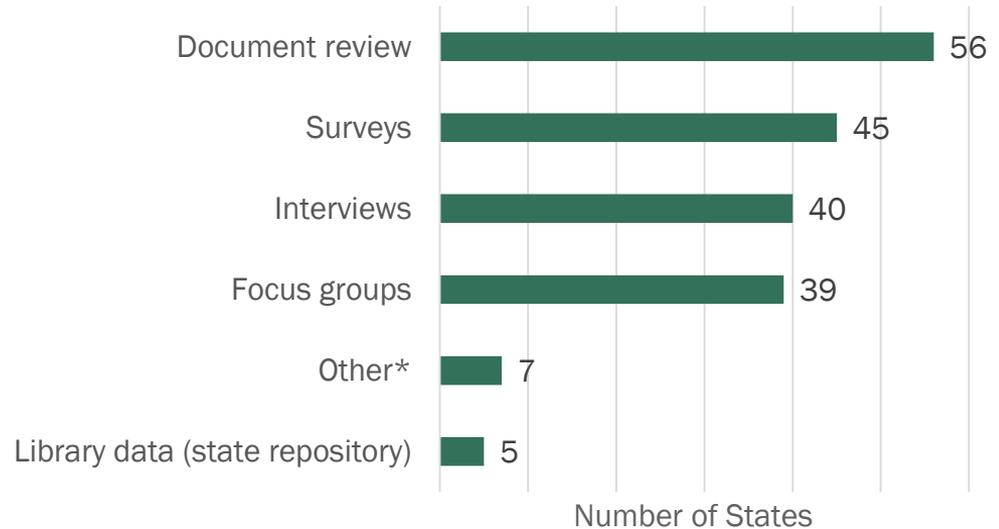
Other beneficiary groups reported, but not included in chart above include:

- Ethnic or minority populations (5 states)
- Individuals living below the poverty line (5 states)
- Individuals with limited functional literacy or information skills (4 states)
- Individuals that are unemployed/underemployed (3 states)
- Immigrants/refugees (0 states)

Evaluation Methods

- All states' evaluators used document review
- Most evaluators used a combination of surveys, interviews, and focus groups
- Evaluators' methodological descriptions varied greatly
 - Focus groups were sometimes “virtual”
 - Notation of data sources sometimes missing

Number of States' Evaluations Reporting Each Method



**Other included:*

- Social media, websites, newspaper articles, fliers (5 states used a combination of these);
- Qualitative analysis – one state used Atlas Ti of the state's LSTA grants, another used hand-coding of applications and annual reports for FY 2013-2015;
- Project Outcome data (1 state);
- 10 years of Public Libraries Survey (PLS) data (1 state)

Questions:
Five Year Evaluation analysis



Five-Year Plans (2018-22)

- Evaluations helped shape new 2018-22 Plans
- Common set of elements, including:
 - Needs Assessment
 - State Goals and associated Projects
 - Crosswalk with IMLS Focal Areas and Intentions
 - Coordination efforts with other agencies
 - Evaluation Plan



SLAA Groupings

(for reference – updated 2018)

Predominant Grant Type

Predominantly subawards

Predominantly SLAA projects

Relative Allotment Size

“Larger”
Allotment

8 States

AZ, CA, FL, IL, NC, OH, PA,
TX

11 States

AR, GA, IA, LA, MI, NJ, NY,
OK, TN, VA, WA

“Smaller”
Allotment

13 States / Territories

AK, AL, CT, IN, MA, MN, MO,
MS, NV, OR, PR, UT, WI

24 States / Territories

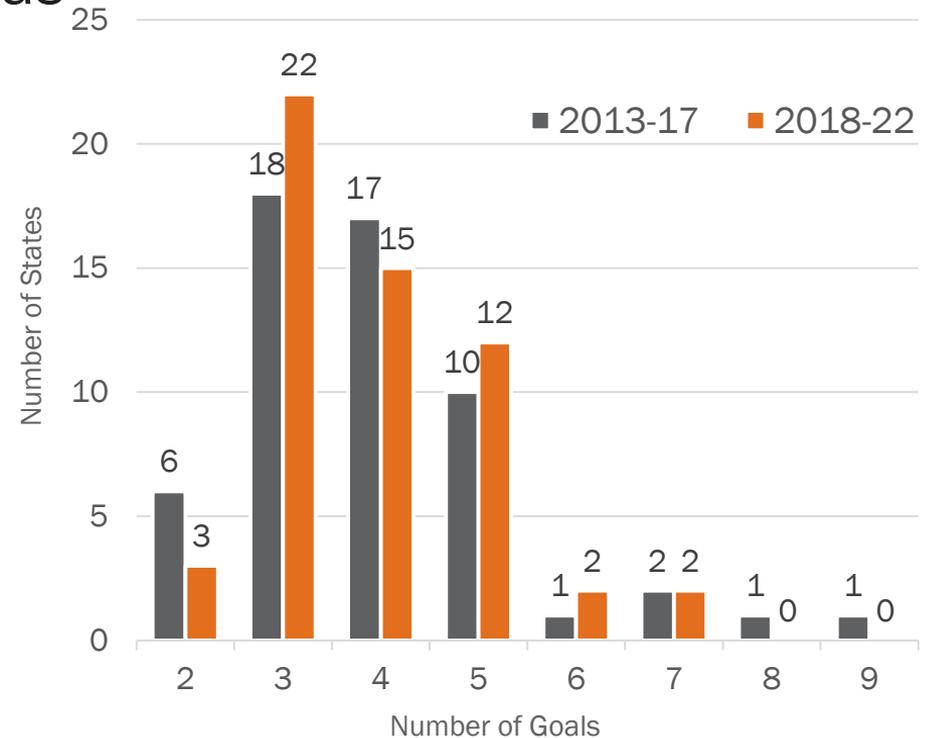
AS, CO, DE, DC, GU, HI, ID,
KS, KY, ME, MD, MT, NE,
NH, NM, ND, CNMI, RI, SC,
SD, VT, VI, WV, WY



Goals by Five-Year Plan Cycle

- The median number of goals was 4 for both five-year cycles
- The average number of goals was 3.95 for 2013-17 and 3.89 for 2018-22
- Size of allotment and predominant grant type (subawards versus SLAA) were only weakly related to average number of goals

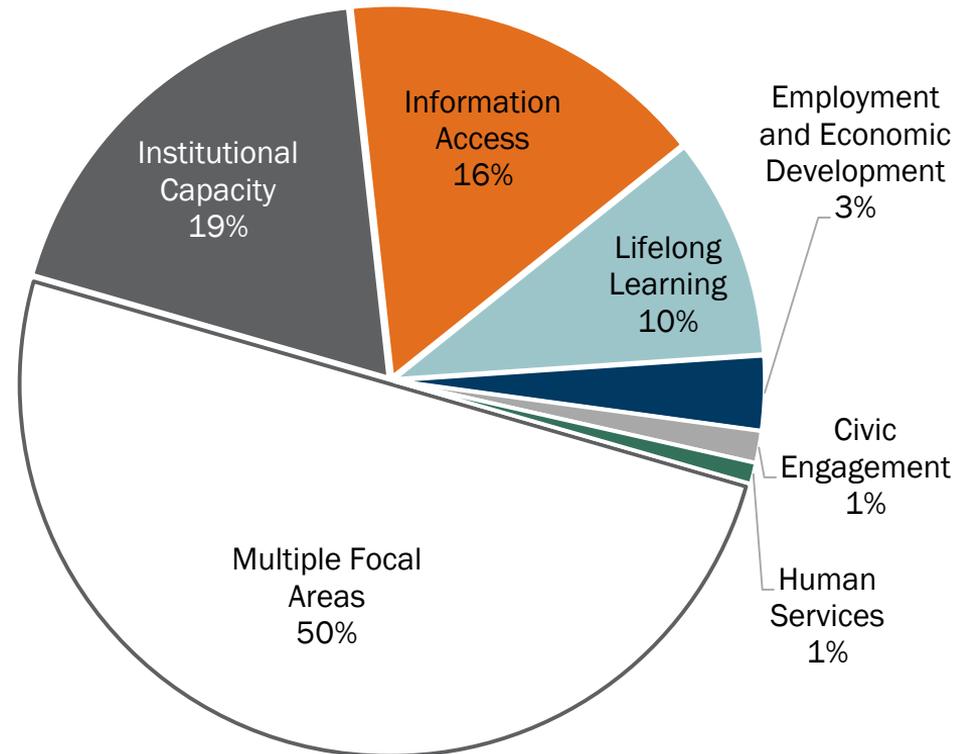
Number of Goals Across States – Five-Year Cycle Comparison



Focal Areas of Goals

- Out of 218 goals across all states, half (109 or 50%) were assigned multiple IMLS Focal Areas
- 29 SLAAs (52%) categorized goals by a *mix* of multiple and single focal areas
- 14 SLAAs (25%) assigned *single* focal areas to all goals
- 13 SLAAs (23%) assigned *multiple* focal areas to all goals

2018-22 Goal Categorization
by Multiple or Single IMLS
Focal Area(s)



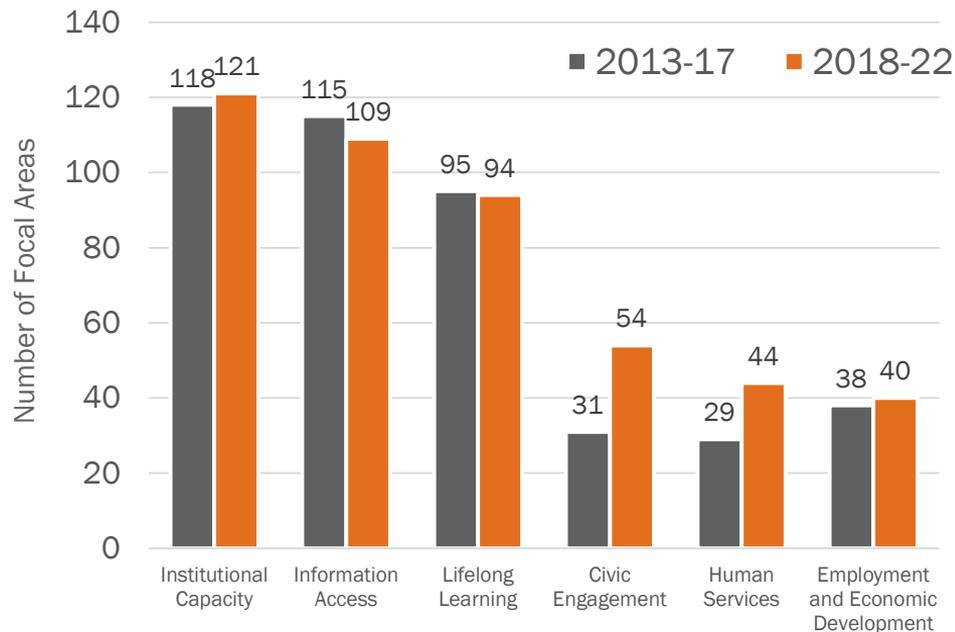


Focal Areas by Five-Year Plan Cycle

- Across all goals there were modest changes in focal area assignment, compared to the prior cycle
- Institutional capacity and information access are still the most common focal area
- Largest increases in 2018-22 compared to 2013-17:
 - Civic engagement (74% increase)
 - Human services (52% increase)

Focal Areas of Goals – Five-Year Cycle Comparison

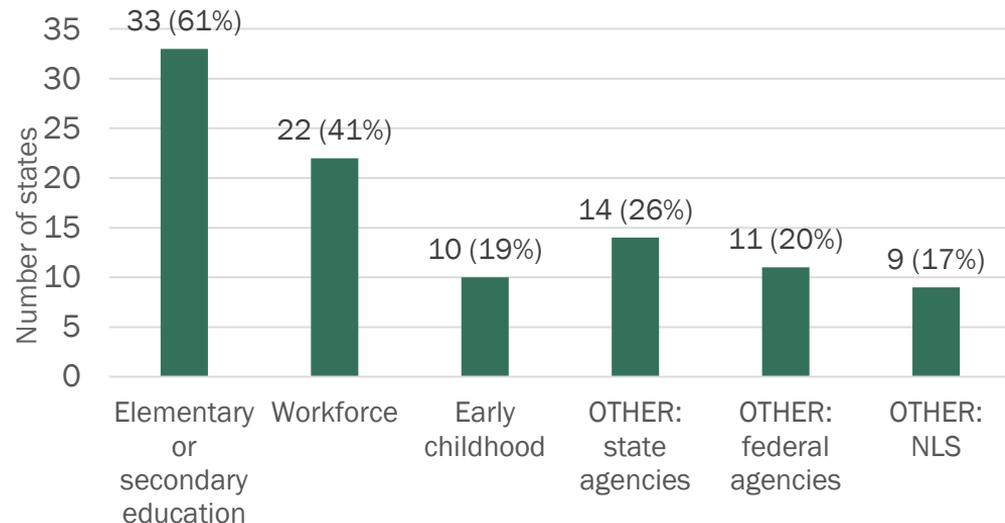
(regardless of multiple or single focal areas associated with goals)



Coordination Efforts

- More SLAAs identified coordination efforts with Elementary or Secondary Education agencies
- Among the 54 SLAAs that coordinated with other agencies:
 - 41% with workforce agencies; and
 - 61% coordinated with elementary or secondary education agencies
- 2 states did not identify any outside agencies for coordination

Number of SLAAs that Coordinate with Organizations, by Type of Organization

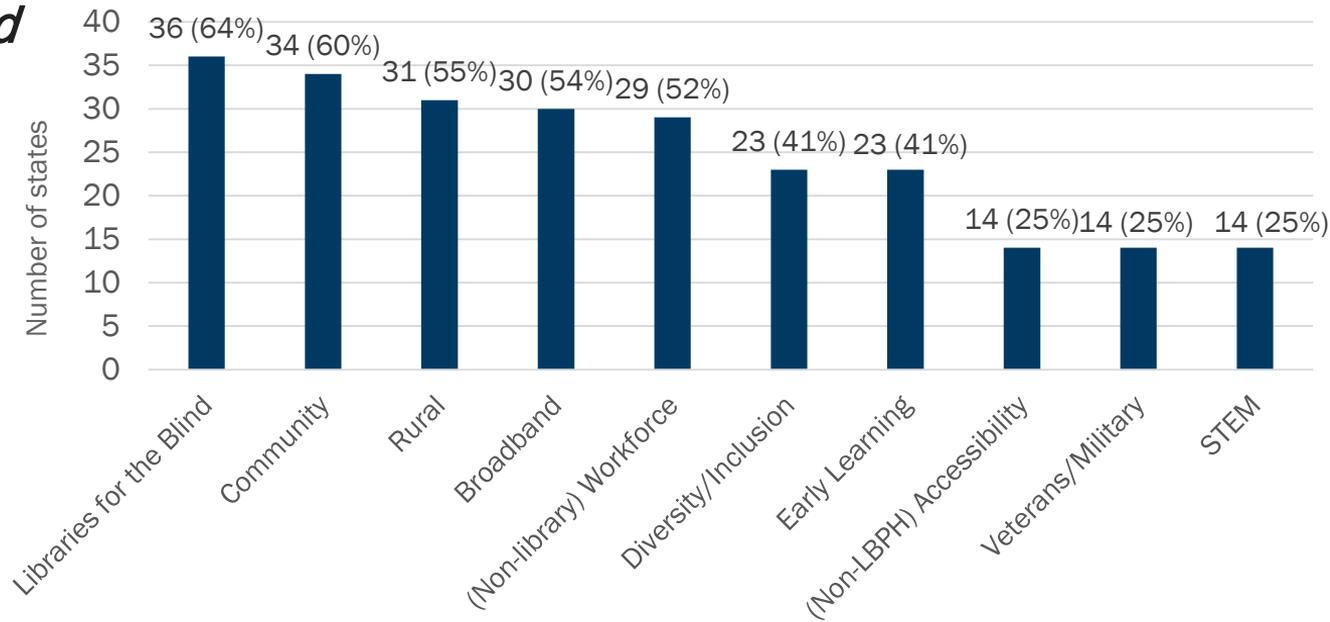




Topical Areas in Goals/Activities

- Nearly two-thirds of states (n=36) cited *Libraries for the Blind* in goal/activity areas
- More than half of states also cited *Community* (n=34), *Rural* (n=31), and *Broadband* (n=30), and *Non-library workforce* (n=29) topics

Topical Areas Identified in 2018-2022 Plans





Evaluation Component within Plans

Connection of Goals and Outcomes in “Evaluation Plan”	# States / Territories	% States/ Territories
Each goal includes outcome statements	10	17.9%
Some goals (but not all) had outcomes	1	1.8%
Moderately descriptive text that connected goals and outcomes	5	8.9%

Questions: Five Year Plan analysis

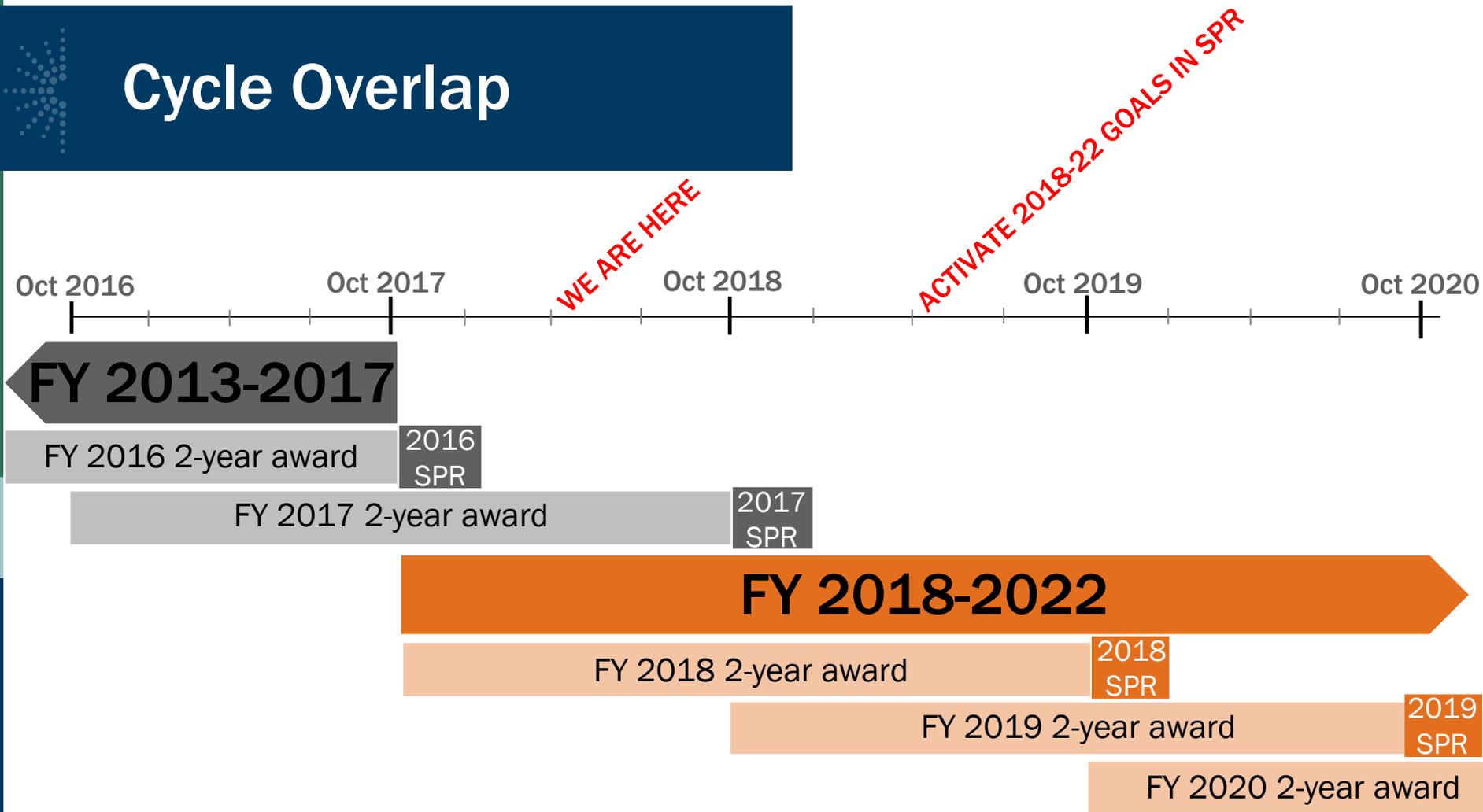


Next Steps: SPR

- Reminder: upcoming State Program Reports (2017) will continue to use the existing State Goals
 - Don't change your goals yet in the SPR!
 - SPR development underway for inputting new goals
- Meanwhile, current projects may be referencing 2018-2022 State Goals



Cycle Overlap



Home

Projects

User Reports

Account Management

Manage Subrecipients

Subaward Info

State Goals

State Info

Subrecipient Access

User Info

Help

View State Goal

Edit Goal

Name:

TestGoal1

Description:

FY 13-17 Goal 1

Fiscal Years:

2013 - 2017

Goal Status:

Active



Inactive



COMING SOON!

- Fiscal Years
- Goal Status

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State Goals

[Add State Goal](#)

Goal	Description	Fiscal Years	Active
TestGoal1	FY 13-17 Goal 1	2013 - 2017	Y
TestGoal2	FY 13-17 Goal 2	2013 - 2017	Y
TestGoal3	FY 13-17 Goal 3	2013 - 2017	Y
TestGoal4	FY 18-22 Goal 1	2018 - 2022	N
TestGoal5	FY 18-22 Goal 2	2018 - 2022	N
TestGoal6	FY 18-22 Goal 3	2018 - 2022	N

COMING SOON!



COMING SOON!
Only "active" goals
from project drop-
down menu

Start Date: * 10/01/2015

End Date: * 09/30/2017

Abstract: ⓘ

Rich text editor toolbar with options: Undo, Redo, Bold, Italic, Underline, Font Color, Background Color, Bulleted List, Numbered List, Indent, Outdent, Link, Unlink, Font Size, Font Family, Font Weight, Font Style, Font Color, Background Color, Font Size, Font Family, Font Weight, Font Style, Font Color, Background Color.

State Goal: ⓘ

Back to Top

Project Director

Director Name:

Director Phone: ⓘ

Director Email:

Back to Top

Goals Dropdown Menu:

- Select A Goal --
- Select A Goal --
- TestGoal1
- TestGoal2
- TestGoal3



Next Steps: SPR

- Goal change process will happen **AFTER** the 2017 SPR report is submitted.
- IMLS will likely hold a webinar in early calendar year 2019 to walk everyone through the process again.



Revisiting the “Crosswalk”

State Goal	IMLS Focal Area(s)	Associated Project	IMLS Intent
#1 Lifespan Learning	Lifelong Learning	STEM for All	Improve users’ general knowledge and skills
		Summer Reading	Improve users’ formal education
		Senior Encore	Improve users’ general knowledge and skills
#2 Community Connections	Human Services, Civic Engagement	Financial Literacy 101	Improve users’ ability to apply information that furthers their personal, family, or household finances
		One Book	Improve users’ ability to participate in community conversations around topics of concern
#3 Trained Workforce	Institutional Capacity	School Library Boot Camp	Improve the library workforce
		CE Pursuits	Improve the library workforce
		Accreditation	Improve the library workforce

Questions: SPR Next Steps