

## Prison Libraries as Motivators of Pro-social Behavior and Successful Re-entry (PRISM prison library project)

### Overview

Colorado State Library and Colorado Library Consortium, with partners RSL Research Group and Colorado Department of Corrections, request \$50,000 from IMLS to plan a statewide outcome-assessment of prison libraries. Currently, this sector has no extant efforts of this sort, such as the varied projects for public, school, and academic libraries (e.g., PLA Project Outcome; AASL C.L.A.S.S.; ARL's LibQual+, MINES, and LibValue). Colorado has 19 correctional institutions housing 13,962 offenders. The goal of this planning project is to determine how best to assess prison library contributions to offenders' development of pro-social behaviors that help them stay occupied productively and out of trouble while incarcerated and maximize their chances of successful re-entry into the community. Pro-social behaviors relevant to prison libraries include functional literacy, voluntary reading, library use, information-seeking and enquiry-based learning skills, digital literacy skills, and early and family literacy.

### Need and Potential Impact

According to the International Centre for Prison Studies, the U.S. has the world's highest incarceration rate: 716 of every 100,000 population (<https://preview.tinyurl.com/gqkavkw>). According to the Bureau of Justice Statistics, the U.S. also has very high rates of recidivism—re-arrest, re-conviction or return to prison within three years of release: 67.8% overall, 56.7% within the first year (<https://preview.tinyurl.com/zrmmnc>). Studies confirm that factors contributing to recidivism include age, gender, race, length and severity of criminal history, length of incarceration, education and skill levels, and conduct in prison (<https://preview.tinyurl.com/jhn52qr>). Evidence-based practices proven to reduce recidivism by encouraging more pro-social behaviors include “re-wiring the brain, increasing positive reinforcement, and ongoing support” (<https://preview.tinyurl.com/gocwa9w>). Offenders who exhibit more pro-social behaviors tend to have better self-esteem and less social anxiety, and are more likely to participate in social interaction, engage in conversations and discussions, collaborate with others to achieve a goal, and express informed opinions. Prison libraries do many things that have a potential impact on developing and sustaining pro-social behaviors. These include enabling their users to access popular reading materials and information to support re-entry; and engage in independent learning and family literacy, and formal education and treatment programs (<https://preview.tinyurl.com/y7syjuvj>, <https://preview.tinyurl.com/y8eu4x2d>). Isolated and largely anecdotal evidence indicates that use of prison libraries by offenders helps reduce recidivism (e.g., <https://preview.tinyurl.com/yamhg73s>, <https://preview.tinyurl.com/yc8ggsol>, <https://preview.tinyurl.com/y7tb5jld>, <https://preview.tinyurl.com/y79jxi7a>). Also, partnerships between prison and public libraries in particular are believed to contribute to successful re-entry and reduced recidivism (<https://preview.tinyurl.com/ah23n6>, <https://preview.tinyurl.com/y7zz7399>).

Because U.S. incarceration and recidivism rates are so high and since there is promising evidence that prison libraries may play a significant role in promoting pro-social behaviors that contribute to successful re-entry and reduced recidivism, it is time for a large-scale study to assess these potential contributions. Because Colorado champions rehabilitation over punishment, it is an excellent state to model a first-of-its-kind study that can be replicated nationwide. Ordinarily, assessments of library outcomes and impact are fairly straightforward; however, in the correctional environment, there are complexities that necessitate a period of substantial planning for such a study to be conducted successfully. Owing to security and privacy policies, scheduling practices, and other varying institutional factors, these complexities include:

- building partnerships with prisons and their local library, education, and social services agencies;
- identifying and establishing working rapport with key stakeholders within these organizations; and
- testing potential methodologies and data collection instruments for use with offenders, librarians, and other stakeholders who work with them.

### **Relevance to the Community Anchors Project Category**

Prison libraries offer a bridge to offenders, both helping them to make constructive use of their time while incarcerated and preparing them for release back into the community, where they are served by community libraries. Prison libraries encourage offenders to participate in civic and cultural engagement, community dialogue, early learning, lifelong learning, and digital inclusion. Libraries also enable them to achieve enhanced equity and access to information and services and economic viability. The PRISM planning project for a prison library impact study will engage researchers, practitioners, and policy-makers. Together they will develop a responsive and replicable research design that will include mining existing data and vetting the best methodologies for involving correctional stakeholders, prison librarians, and library users in developing new service outcome indicators. These new indicators will be designed for widespread implementation and easy adoption by U.S. correctional libraries, thus enabling replication and expansion of this new line of research. PRISM data and research will contribute to improving the effectiveness of prison libraries in serving offenders during their incarceration and preparing them for successful re-entry into the community.

### **Projected Performance Goals and Outcomes**

An advisory committee composed of project staff and stakeholders will finalize the goals and objectives of this PRISM planning project as well as the envisioned study. The goal of that study is to illuminate for correctional decision-makers the contributions of prison libraries to managing offender behavior while incarcerated and to preparing them for re-entry into the community. Desired outcomes of this planning project are to satisfy stakeholders that:

- the research design will generate high-quality, actionable data about the contributions of prison libraries;
- the study design and work plan are sufficient for the findings to inform them about library contributions to offender behavior management and re-entry preparation; and
- they are prepared for their participation in this planning project and the planned study.

### **Proposed Work Plan**

This PRISM planning project will lay a foundation for the model Colorado study by:

- developing partnerships and working agreements with correctional, library, education, and social services agencies;
- conducting exploratory research including reviews of relevant literature and research in progress as well as key informant and/or focus group interviews of offenders, correctional officials, and stakeholder representatives; and
- formulating a research design and work plan for a pilot study that addresses the above goals and objectives of research to fill this conspicuous gap in the library literature.

### **Project Director and Partners**

Diane Walden, Institutional Library Development Coordinator, Colorado State Library, will serve as project director. Keith Curry Lance, Ph.D., Consultant, RSL Research Group, will serve as principal investigator, assisted by other RSL researchers. Walden and the RSL team are nationally recognized leaders in the institutional library and library research communities, respectively. Representatives will be recruited from Colorado prisons—including librarians, administrators, analysts, and others—and other stakeholders.

### **Estimated Budget**

Of the total \$50,000 budget, \$45,000 is designated for a contract with RSL Research Group, \$3,000 for expenses associated with partnership-building meetings and travel, \$1,000 for documents or document access and miscellaneous expenses (planning report printing and postage), and \$1,000 for indirect costs.

<b>APPLICATION FOR FEDERAL DOMESTIC ASSISTANCE - Short Organizational</b>	
<b>* 1. NAME OF FEDERAL AGENCY:</b> Institute of Museum and Library Services	
<b>2. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b> 45.312 <b>CFDA TITLE:</b> National Leadership Grants	
<b>* 3. DATE RECEIVED:</b> 01/25/2018	<b>SYSTEM USE ONLY</b>
<b>* 4. FUNDING OPPORTUNITY NUMBER:</b> NLG-LIBRARIES-FY18-2 <b>* TITLE:</b> National Leadership Grants for Libraries	
<b>5. APPLICANT INFORMATION</b>	
<b>* a. Legal Name:</b> Colorado Library Consortium	
<b>b. Address:</b>	
<b>* Street1:</b> 7400 E Arapahoe Rd	<b>Street2:</b> Suite 75
<b>* City:</b> Centennial	<b>County/Parish:</b> Arapahoe
<b>* State:</b> CO: Colorado	<b>Province:</b>
<b>* Country:</b> USA: UNITED STATES	<b>* Zip/Postal Code:</b> 80112-1280
<b>c. Web Address:</b> http:// www.clicweb.org	
<b>* d. Type of Applicant: Select Applicant Type Code(s):</b> M: Nonprofit with 501C3 IRS Status (Other than Instit Type of Applicant: Type of Applicant: * Other (specify):	<b>* e. Employer/Taxpayer Identification Number (EIN/TIN):</b> 84-0691967 <b>* f. Organizational DUNS:</b> 1480364370000 <b>* g. Congressional District of Applicant:</b> CO-006
<b>6. PROJECT INFORMATION</b>	
<b>* a. Project Title:</b> Prison Libraries as Motivators of Pro-social Behavior and Successful Re-entry (PRISM prison library planning project)	
<b>* b. Project Description:</b> The PRISM prison library planning project will result in an outcome-assessment of Colorado prison libraries to measure prison library contributions to offenders' development of pro-social behaviors that help them stay occupied productively and out of trouble while incarcerated and maximize their chances of successful re-entry into the community. This project is needed to fill a gap in research on evidence-based practices for correctional libraries and accommodate the complexities of the secure corrections environment. Involved stakeholders and decision makers will be satisfied that the research design generates actionable data, the findings inform them of library contributions to offender behavior management and re-entry preparation, and they understand their individual roles and responsibilities for participation in the planning project and planned study.	
<b>c. Proposed Project: * Start Date:</b> 10/01/2018 <b>* End Date:</b> 09/30/2019	

**APPLICATION FOR FEDERAL DOMESTIC ASSISTANCE - Short Organizational****7. PROJECT DIRECTOR**

Prefix: <input type="text"/>	* First Name: Diane <input type="text"/>	Middle Name: <input type="text"/>
* Last Name: Walden <input type="text"/>	Suffix: <input type="text"/>	
* Title: Institutional Library Development Coordinator <input type="text"/>	* Email: walden_d@cde.state.co.us <input type="text"/>	
* Telephone Number: 3038666341 <input type="text"/>	Fax Number: <input type="text"/>	
* Street1: 201 E Colfax Ave <input type="text"/>	Street2: Room 308 <input type="text"/>	
* City: Denver <input type="text"/>	County/Parish: Denver <input type="text"/>	
* State: <input type="text"/> CO: Colorado	Province: <input type="text"/>	
* Country: <input type="text"/> USA: UNITED STATES	* Zip/Postal Code: 80203-1799 <input type="text"/>	

**8. PRIMARY CONTACT/GRANTS ADMINISTRATOR**

<input type="checkbox"/> Same as Project Director (skip to item 9):		
Prefix: <input type="text"/>	* First Name: James <input type="text"/>	Middle Name: <input type="text"/>
* Last Name: Duncan <input type="text"/>	Suffix: <input type="text"/>	
* Title: Executive Director <input type="text"/>	* Email: jduncan@clicweb.org <input type="text"/>	
* Telephone Number: 3034221150 <input type="text"/>	Fax Number: <input type="text"/>	
* Street1: 7400 E Arapahoe Rd <input type="text"/>	Street2: Suite 75 <input type="text"/>	
* City: Centennial <input type="text"/>	County/Parish: Arapahoe <input type="text"/>	
* State: <input type="text"/> CO: Colorado	Province: <input type="text"/>	
* Country: <input type="text"/> USA: UNITED STATES	* Zip/Postal Code: 80112-1280 <input type="text"/>	

**APPLICATION FOR FEDERAL DOMESTIC ASSISTANCE - Short Organizational**

9. \* By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties (U.S. Code, Title 218, Section 1001)

\*\* I Agree

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**AUTHORIZED REPRESENTATIVE**

Prefix: <input type="text"/>	* First Name: <input type="text" value="James"/>	Middle Name: <input type="text"/>
* Last Name: <input type="text" value="Duncan"/>	Suffix: <input type="text"/>	
* Title: <input type="text" value="Executive Director"/>	* Email: <input type="text" value="jduncan@clicweb.org"/>	
* Telephone Number: <input type="text" value="3034221150"/>	Fax Number: <input type="text"/>	
* Signature of Authorized Representative: <input type="text" value="James Duncan"/>	* Date Signed: <input type="text" value="01/25/2018"/>	

# IMLS PROGRAM INFORMATION SHEET

PLEASE NOTE: Information contained within this form may be made publicly available.

## 1. Applicant Information

a. Legal Name as it appears in SAM.gov (5a from SF424S):

b. Organizational D-U-N-S® Number (5f from SF-424S): 

1	4	8	0	3	6	4	3	7
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c. Expiration date of your SAM.gov registration

d. Organizational Unit Name (if different from Legal Name):

e. Organizational Unit Address (if different from Legal Name address)

Street 1

Street 2

City  County

State  Zip+4/Postal Code 

8	0	1	1	2	-	1	2	8	0
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f. Organizational Unit Type (check one):

- |   |  |   |
|---|--|---|
| <input type="radio"/> Academic Library<br><input type="radio"/> Aquarium<br><input type="radio"/> Arboretum/Botanical Garden<br><input type="radio"/> Art Museum<br><input type="radio"/> Children's/Youth Museum<br><input type="radio"/> Community College<br><input type="radio"/> Digital Library<br><input type="radio"/> Four-year College<br><input type="radio"/> General Museum*<br><input type="radio"/> Graduate School of Library and Information Science<br><input type="radio"/> Historic House/Site<br><input type="radio"/> Historically Black College or University (HBCU)<br><input type="radio"/> History Museum | <input type="radio"/> Library Association<br><input checked="" type="radio"/> Library Consortium<br><input type="radio"/> Museum Library<br><input type="radio"/> Museum Services Organization/Association<br><input type="radio"/> Native American Tribe/Alaska Native/Native Hawaiian Organization<br><input type="radio"/> Natural History/Anthropology Museum<br><input type="radio"/> Nature Center<br><input type="radio"/> Planetarium<br><input type="radio"/> Public Library<br><input type="radio"/> Research Library/Archives | <input type="radio"/> School Library or School District applying on behalf of a School Library or Libraries<br><input type="radio"/> Science/Technology Museum<br><input type="radio"/> Special Library<br><input type="radio"/> Specialized Museum**<br><input type="radio"/> State Library<br><input type="radio"/> State Museum Agency<br><input type="radio"/> State Museum Library<br><input type="radio"/> Zoo<br><input type="radio"/> Institution of higher education other than listed above<br><input type="radio"/> Other <input style="width: 100px; height: 20px;" type="text"/> |
|---|--|---|

\* A museum with collections representing two or more disciplines equally (e.g., art and history)

\*\* A museum with collections limited to one narrowly defined discipline (e.g., textiles, maritime, ethnic group)

# IMLS PROGRAM INFORMATION SHEET

## 2. Organizational Financial Information

a. Please complete the following table for the Organizational Unit for the three most recently completed fiscal years.

Fiscal Year	Total Revenue*	Total Expenses**	Surplus or Deficit
2016	\$2,510,605	\$2,626,224	(\$115,619)
2015	\$2,594,341	\$2,395,675	\$198,666
2014	\$2,611,415	\$2,329,364	\$282,051

\* For nonprofit tax filers, Total Revenue can be found on Line 12 of the IRS Form 990.

\*\* For nonprofit tax filers, Total Expenses can be found on Line 18 of the IRS Form 990.

b. If you had a budget surplus or deficit greater than 10% of your annual operating budget for two or more of the three fiscal years listed above, please explain the circumstances of this surplus or deficit in the box below.

n/a
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c. Were there any material weaknesses identified in your prior year's audit report?

Yes       No       Not applicable

A **material weakness** is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

If **yes**, please explain.

n/a
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d. Has your organization had an A-133 audit in the past three years?

Yes       No

# IMLS PROGRAM INFORMATION SHEET

Refer to the Notice of Funding Opportunity for descriptions of these options and instructions for how to make selections.

## 3. Grant Program

### a. Laura Bush 21<sup>st</sup> Century Librarian Program

1. Select one:

- Planning Grant
- National Forum Grant
- Project Grant
- Research Grant

2. Select one:

- Pre-professional
- Masters-level and Doctoral-level Programs
- Early Career Development
- Continuing Education

3. Select one

- Community Anchors
- National Digital Platform
- Curating Collections

### b. National Leadership Grants for Libraries

1. Select one:

- Sparks Grant
- Planning Grant
- National Forum Grant
- Project Grant
- Research Grant

2. Select one:

- Community Anchors
- National Digital Platform
- Curating Collections

### c. Native American/Native Hawaiian Library Services

1. Select one:

- Native American Basic Grant
- Native American Enhancement Grant
- Native Hawaiian Library Services

### d. Museums for America

1. Select one:

- Learning Experiences
- Community Anchors
- Collections Stewardship

2. Select one:

- \$5,000–\$25,000 with no cost share permitted.
- \$25,001–\$250,000 with cost share required.

### e. Museums Empowered (an MFA Special Initiative)

1. Select one:

- Digital Technology
- Diversity and Inclusion
- Evaluation
- Organizational Management

2. Select one:

- \$5,000–\$25,000 with no cost share permitted.
- \$25,001–\$250,000 with cost share required.

### f. National Leadership Grants for Museums

1. Select one:

- Advancing Digital Assets and Capacity
- Collection Care and Access
- Diversity and Inclusion
- Professional Development

2. Select one:

- Non-research grant, \$50,000–\$1,000,000 with cost share required.
- Research grant, \$50,000–\$1,000,000 with no cost share required.
- Rapid prototyping grant, \$5,000–\$50,000 with no cost share required.

### g. Museum Grants for African American History and Culture

1. Select one:

- \$5,000–\$25,000 with no cost share permitted.
- \$25,001–\$150,000 with cost share required.

### h. Native American/Native Hawaiian Museum Services

## 4. Performance Goals

Select one of the following three IMLS agency-level goals: (a) Learning, (b) Community, or (c) Content and Collections. Then select at least one of the performance goals listed beneath it:

### a. Learning

- Train and develop museum and library professionals
- Support communities of practice
- Develop and provide inclusive and accessible learning opportunities

### b. Community

- Strengthen museums and libraries as essential partners in addressing the needs of their communities

### c. Content and Collections

- Broaden access and expand use of the Nation's content and collections
- Improve management of the Nation's content and collections
- Improve preservation, conservation, and care of the Nation's content and collections

If you select a performance goal listed beneath Learning or Community for your project, please review in the NOFO the specific performance measure statement choices and the information you will be required to collect for each.



# IMLS PROGRAM INFORMATION SHEET

## 5. Funding Request

a. IMLS funds requested:  b. Cost share amount:

## 6. Population Served

Please select the target population(s) served by the proposed project:

- |   |   |
|---|---|
| <input type="checkbox"/> General Population   | <input checked="" type="checkbox"/> Museum and/or Library Professionals         |
| <input type="checkbox"/> Early Childhood/Preschool (0-5 years)  | <input type="checkbox"/> Native Americans/Alaska Natives/Native Hawaiians       |
| <input type="checkbox"/> Middle Childhood/Primary School (6-12 years)                                       | <input type="checkbox"/> People with Mental or Physical Challenges/Disabilities |
| <input type="checkbox"/> Adolescents/High School (13-19 years)  | <input type="checkbox"/> People Who Are Low Income/Economically Disadvantaged   |
| <input type="checkbox"/> Adults   | <input type="checkbox"/> Rural Populations                                      |
| <input type="checkbox"/> Aging, Elderly, Senior Citizens (65+ years)  | <input checked="" type="checkbox"/> Scholars/Researchers                        |
| <input type="checkbox"/> Ethnic or Racial Minority Populations other than Native Americans/Native Hawaiians | <input type="checkbox"/> Unemployed   |
| <input type="checkbox"/> Families/Intergenerational   | <input type="checkbox"/> Urban Populations                                      |
| <input type="checkbox"/> Immigrants/Refugees  | <input checked="" type="checkbox"/> Other                                       |
| <input type="checkbox"/> Military Families  |   |

If other, please specify:

## 7. Museum Profile (Museum Applicants Only)

a. Is your institution organized on a permanent basis for essentially educational or aesthetic purposes, and is it **either** a private not-for-profit organization that has tax-exempt status under the Internal Revenue Code **or** a unit of state or local government?  Yes  No

b. Does your institution own or use tangible objects, either animate or inanimate?  Yes  No

c. Does your institution care for these objects?  Yes  No

d. Does your institution exhibit these objects to the general public at least 120 days a year through facilities your institution owns or operates?  Yes  No

e. Your institution's attendance for the 12-month period prior to the application

f. Year your institution was first open and exhibiting to the public:

g. Total number of days your institution was open to the public for the 12-month period prior to application:

h. Does your institution employ at least one professional staff member, or the full-time equivalent, whether paid or unpaid, who is primarily engaged in the acquisition, care, or exhibition to the public of tangible objects owned or used by your institution?  Yes  No

i. Number of full-time paid institution staff:

j. Number of full-time unpaid institution staff:

k. Number of part-time paid institution staff:

l. Number of part-time unpaid institution staff:

# IMLS PROGRAM INFORMATION SHEET

## 8. Project Elements (Museums for America Only)

Your response to this question will help us match your application to reviewers with appropriate experience. Make your choice under the project category that you selected in Question 3 (Grant Program).

### LEARNING EXPERIENCES

If you are applying in the Learning Experiences Project Category, select the **primary** element that is core to your proposed project from the list below (**check only one**):

- |                                       |   |
|---------------------------------------|---|
| <input type="radio"/> Adult Programs  | <input type="radio"/> Interpretation                    |
| <input type="radio"/> Digital Media   | <input type="radio"/> K-12 Programs, With Schools       |
| <input type="radio"/> Early Learning  | <input type="radio"/> K-12 Programs, Out of School      |
| <input type="radio"/> Exhibitions     | <input type="radio"/> Professional Development/Training |
| <input type="radio"/> Family Programs | <input type="radio"/> Public Programs                   |

### COMMUNITY ANCHORS

If you are applying in the Community Anchors Project Category, select the **primary** element that is core to your proposed project from the list below (**check only one**):

- |   |   |
|---|---|
| <input checked="" type="radio"/> Audience Research and Evaluation | <input type="radio"/> Community Outreach/Audience Development |
| <input type="radio"/> Civic Engagement                            | <input type="radio"/> Digital Media                           |
| <input type="radio"/> Community-Driven Exhibitions and Programs   | <input type="radio"/> Professional Development/Training       |
| <input type="radio"/> Community-Focused Planning Activities       |   |

### COLLECTIONS STEWARDSHIP

If you are applying in the Collections Stewardship Project Category, select the **primary** element that is core to your proposed project from the list below (**check only one**):

#### Access and Use

- Database Management
- Digitization
- Software Applications
- Website Development

#### Collections Management

- Cataloguing, Inventorying, Registration
- Collections Information Management
- Collections Planning

#### Conservation

- Conservation Environmental Improvement/Rehousing
- Conservation Survey
- Conservation Treatment
- Professional Development/Training

Please identify the material type(s) that will be affected by your project:

- |   |   |
|---|---|
| <input type="checkbox"/> Animals, living    | <input type="checkbox"/> Photographic Materials |
| <input type="checkbox"/> Animals, preserved | <input type="checkbox"/> Plants, living         |
| <input type="checkbox"/> Architecture       | <input type="checkbox"/> Plants, preserved      |
| <input type="checkbox"/> Books and Paper    | <input type="checkbox"/> Sculpture              |
| <input type="checkbox"/> Electronic Media   | <input type="checkbox"/> Textiles               |
| <input type="checkbox"/> Objects            | <input type="checkbox"/> Wooden Artifacts       |
| <input type="checkbox"/> Paintings          |   |